1-1-2014

2014 Annual Report July 1, 2013 through June 30, 2014

Virginia Institute of Marine Science

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Dear Friends of VIMS:

As we celebrate the 75th anniversary of the Virginia Institute of Marine Science in 2015 with a commemorative booklet, website, and special events, we take this opportunity to thank our many friends and to provide a brief update on VIMS’ accomplishments during the 2013-14 fiscal year. We will return with a full-length Annual Report next winter.

It was another productive year at VIMS, with one highlight being the hiring of 7 new faculty members, bringing to 12 the total number of faculty brought onboard since 2012. Joining the VIMS faculty after national searches were Juliette Smith in Aquatic Health Sciences; Christine Meynard and David Johnson in Biological Sciences; Elizabeth Shadwick in Physical Sciences; and David Kaplan, Andrew Scheld, and Kevin Weng in Fisheries Science. We're very excited about the ideas and research opportunities that our new faculty bring to the Institute.

VIMS faculty, staff, and students continued to bring new scientific knowledge to bear on the many challenges facing Chesapeake Bay and the coastal ocean, with a fuller account of their achievements available on our website. Good news for the Bay were reports of VIMS-mediated upswings in oyster aquaculture and continued success in seagrass restoration. We also made continued progress in helping Virginia understand and adapt to sea-level rise.

Members of the VIMS community were recognized with a number of external awards, including an Outstanding Faculty Award to Deborah Steinberg from the State Council of Higher Education for Virginia, Awards of Excellence to Rob Latour and James Gartland from the Atlantic States Marine Fisheries Commission, the Oscar E. Sette Award to Mary Fabrizio (American Fisheries Society), the Informal Educator Award to Lisa Ayers Lawrence (Mid-Atlantic Marine Education Association), and the Inventor of the Year Award to Stan Allen (New Jersey Hall of Fame).

Honors from William & Mary were on tap as well: Elizabeth Canuel received the Thomas Ashley Graves, Jr. Award for Sustained Excellence in Teaching, Andre Buchheister earned the Thatcher Prize for Excellence in Graduate and Professional Study, and Kenneth Moore and Deborah Steinberg were selected as recipients of 2014 Plumeri Awards for Faculty Excellence.

The VIMS Foundation closed the fiscal year at more than $12 million in combined endowment and expendable assets. Thanks to private support, the Foundation continues to benefit all aspects of VIMS, with several new endowments taking us into 2015 with some 43 separate endowment accounts. I am most grateful to our generous supporters.

Together with our anniversary document, we trust this report will help you appreciate the current and historical benefits that VIMS brings to Virginia, the nation, and the world. We greatly appreciate your help in creating the successes of our first 75 years, and look forward to even greater accomplishments in the years ahead.

John T. Wells
Dean and Director
**VIMS Foundation Fellowships & Awards**

Fellowships for students in William & Mary’s School of Marine Science at VIMS support a wide range of student needs including research equipment, travel, tuition, and stipends. Student awards recognize and reward qualities that lead to success in graduate school and subsequent careers—including commitment, initiative, scholarship, and interdisciplinary research.

<table>
<thead>
<tr>
<th>Fellowship Name</th>
<th>Scientific Field</th>
<th>Degree</th>
</tr>
</thead>
<tbody>
<tr>
<td>H. Booker Andrews, Jr. Fellowship</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Solomon Chak</td>
<td>Physical Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Eric Miller</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Beasley Fellowship</td>
<td>Biological Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Erika Schmitt</td>
<td>Physical Sciences</td>
<td></td>
</tr>
<tr>
<td>H. Booker Andrews, Jr. Fellowship</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Solomon Chak</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Beasley Fellowship</td>
<td>Biological Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Erika Schmitt</td>
<td>Physical Sciences</td>
<td></td>
</tr>
<tr>
<td>Dean’s Fellowship*</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Allison Golden</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ryan Schloesser</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Joshua Stone</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Beasley Fellowship</td>
<td>Biological Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Erika Schmitt</td>
<td>Physical Sciences</td>
<td></td>
</tr>
<tr>
<td>Dean’s Fellowship*</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Allison Golden</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ryan Schloesser</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Joshua Stone</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Rebecca M. Dickhut Endowment for Support of Students and Young Early Career Scientists</td>
<td>Aquatic Health Sciences</td>
<td></td>
</tr>
<tr>
<td>Associate Professor Juliette Smith</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grey Allison &amp; H. Renwick Dunlap Fellowship</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Alison Deary</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gloucester Point Rotary Club - Earnest J. Warinner Fellowship</td>
<td>Aquatic Health Sciences</td>
<td></td>
</tr>
<tr>
<td>Cassidy Peterson</td>
<td>Fisheries Science</td>
<td>M.S.</td>
</tr>
<tr>
<td>Harry &amp; Barbara Hager Fellowship</td>
<td>Biological Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Sara Blachman</td>
<td></td>
<td></td>
</tr>
<tr>
<td>William J. Hargis, Jr. Endowment Fellowship</td>
<td>Physical Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Alison O’Connor</td>
<td></td>
<td></td>
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<tr>
<td>Edward Holland Fellowship</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Daniel Kaufman</td>
<td></td>
<td></td>
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<tr>
<td>Matthew Fontaine Maury Fellowship</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Jon Lefcheck</td>
<td></td>
<td></td>
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<tr>
<td>James E. and Anne McCracken Rogers Graduate Student Fellowship</td>
<td>Physical Sciences</td>
<td></td>
</tr>
<tr>
<td>Alexander Renaud</td>
<td>Biological Sciences</td>
<td>M.S.</td>
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<tr>
<td>Dr. Maynard Nichols and E. Jane Nichols Travel Fellowship</td>
<td>Physical Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Kelsey Fall</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Julia Moriarty</td>
<td>Physical Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Xiao Tan Shen</td>
<td>Physical Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Norfolk Southern Fellowship</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Ann Arfken</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Robert Isdell</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>John E. Olney, Sr. Ichthyology Award Adela Roa-Varon</td>
<td>Fisheries Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Juliette B. &amp; Carroll W. Owens, Sr. Fellowship</td>
<td>Physical Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Kelsey Fall</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kathleen &amp; Robert Roper Fellowship</td>
<td>Fisheries Science</td>
<td>M.S.</td>
</tr>
<tr>
<td>William Goldsmith</td>
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<td></td>
</tr>
<tr>
<td>Craig L. Smith Memorial Educational Scholarship</td>
<td>Physical Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Julia Moriarty</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SunTrust Fellowship</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Mark Stratton</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tidewater Oyster Gardener’s Association Fellowship</td>
<td>Aquatic Health Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Brendan Turley</td>
<td></td>
<td></td>
</tr>
<tr>
<td>VIMS Council Fellowship</td>
<td>Fisheries Science</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Christopher Sweetman</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Isaac Irby</td>
<td>Biological Sciences</td>
<td>Ph.D.</td>
</tr>
<tr>
<td>Zeigler Fellowship</td>
<td>Physical Sciences</td>
<td>M.S.</td>
</tr>
<tr>
<td>Andrew Fallon</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Danielle Smith</td>
<td>Physical Sciences</td>
<td>Ph.D.</td>
</tr>
</tbody>
</table>

*Funded by the Office of Academic Studies.

The following fellowship and student-support endowments are building and will support students in the future: The Ferguson Enterprises Fellowship, the R. Gordon & Catherine B. Smith Fellowship, the Olsson Family Fellowship, the Strickland Family Fellowship, Bruce K. Goodwin Fellowship, Nancy & Henry H. George Fellowship, Mary Hedrick Causey Memorial Fellowship, and the General Graduate Student Support Endowment, which has been supported by numerous friends and alumni of VIMS.
**VIMS Financials**

*Fiscal Year 2013-2014*

*Unaudited*

### REVENUE

<table>
<thead>
<tr>
<th>Source</th>
<th>Amount (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Funds</td>
<td>18,543,127</td>
</tr>
<tr>
<td>Nongeneral Funds</td>
<td></td>
</tr>
<tr>
<td>Tuition and Other Funds</td>
<td>1,690,439</td>
</tr>
<tr>
<td>Sponsored Programs*</td>
<td>20,495,957</td>
</tr>
<tr>
<td>Private Funds**</td>
<td>874,706</td>
</tr>
<tr>
<td><strong>Total Revenue</strong></td>
<td><strong>41,604,229</strong></td>
</tr>
</tbody>
</table>

### EXPENDITURES

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instruction</td>
<td>1,189,483</td>
</tr>
<tr>
<td>Research and Advisory Services</td>
<td>8,261,813</td>
</tr>
<tr>
<td>Public Service</td>
<td>5,535</td>
</tr>
<tr>
<td>Academic Support</td>
<td>4,798,528</td>
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<tr>
<td>Institutional Support</td>
<td>2,427,456</td>
</tr>
<tr>
<td>Plant Operations</td>
<td>4,030,348</td>
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<tr>
<td>Student Financial Assistance</td>
<td>317,056</td>
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<tr>
<td>Sponsored Programs</td>
<td>20,495,957</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td><strong>41,526,176</strong></td>
</tr>
</tbody>
</table>

### CAPITAL EXPENDITURES

- $510,590

---

* Sponsored Programs revenue includes Grant & Contract expended revenues.

** Private Funds revenue includes program support to VIMS from the VIMS Foundation.
### Statement of Financial Position as of June 30, 2014

**Assets**

<table>
<thead>
<tr>
<th>FY 2014</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Current Assets</strong></td>
<td></td>
</tr>
<tr>
<td>Cash and cash equivalents</td>
<td>$810,635</td>
</tr>
<tr>
<td>Pledges receivable</td>
<td>426,745</td>
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<tr>
<td><strong>Total Current Assets</strong></td>
<td>1,237,380</td>
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<tr>
<td><strong>Investment in William &amp; Mary Investment Trust</strong></td>
<td>11,244,964</td>
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<tr>
<td><strong>Other Assets</strong></td>
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<tr>
<td>Pledges receivable</td>
<td>223,810</td>
</tr>
<tr>
<td><strong>TOTAL ASSETS</strong></td>
<td>$12,706,154</td>
</tr>
</tbody>
</table>

**Liabilities and Net Assets**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Liabilities</strong></td>
<td></td>
</tr>
<tr>
<td>Accounts payable</td>
<td>$19,940</td>
</tr>
<tr>
<td><strong>Net Assets</strong></td>
<td></td>
</tr>
<tr>
<td>Unrestricted</td>
<td>1,505,202</td>
</tr>
<tr>
<td>Temporarily restricted</td>
<td>3,833,498</td>
</tr>
<tr>
<td>Permanently restricted</td>
<td>7,347,514</td>
</tr>
<tr>
<td><strong>Total Net Assets</strong></td>
<td>12,686,214</td>
</tr>
</tbody>
</table>

**TOTAL LIABILITIES AND NET ASSETS**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$12,706,154</td>
<td></td>
</tr>
</tbody>
</table>

*The selected financial information presented was extracted from the financial statements audited by McPhillips, Roberts & Deans, PLC.*

### Statement of Activities for the Year Ended June 30, 2014

**Revenue, Gains and Other Support**

<table>
<thead>
<tr>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions</td>
<td>$205,297</td>
<td>$751,150</td>
<td>$369,693</td>
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<tr>
<td>Net investment income</td>
<td>472,189</td>
<td>949,776</td>
<td>-</td>
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<tr>
<td></td>
<td>677,486</td>
<td>1,700,926</td>
<td>369,693</td>
</tr>
<tr>
<td>Net assets released from restrictions</td>
<td>460,753</td>
<td>(460,753)</td>
<td>-</td>
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<tr>
<td><strong>Total Revenue, Gains and Other Support</strong></td>
<td>1,138,239</td>
<td>1,240,173</td>
<td>369,693</td>
</tr>
</tbody>
</table>

**Expenses**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total Expenses</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grants to VIMS restricted for:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instruction</td>
<td>97,481</td>
<td>-</td>
<td>-</td>
<td>97,481</td>
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<tr>
<td>Research</td>
<td>277,148</td>
<td>-</td>
<td>-</td>
<td>277,148</td>
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<tr>
<td>Academic support</td>
<td>11,390</td>
<td>-</td>
<td>-</td>
<td>11,390</td>
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<tr>
<td>Institutional support</td>
<td>199,531</td>
<td>-</td>
<td>-</td>
<td>199,531</td>
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<tr>
<td>Student financial assistance</td>
<td>69,199</td>
<td>-</td>
<td>-</td>
<td>69,199</td>
<td></td>
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<tr>
<td>Public support</td>
<td>5,535</td>
<td>-</td>
<td>-</td>
<td>5,535</td>
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<tr>
<td>Management and general</td>
<td>76,196</td>
<td>-</td>
<td>-</td>
<td>76,196</td>
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<tr>
<td>Fundraising</td>
<td>33,324</td>
<td>-</td>
<td>-</td>
<td>33,324</td>
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<tr>
<td><strong>Total Expenses</strong></td>
<td>769,804</td>
<td>-</td>
<td>-</td>
<td>769,804</td>
<td></td>
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</tbody>
</table>

**Change in Net Assets**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>368,435</td>
<td>1,240,173</td>
<td>369,693</td>
<td></td>
<td>1,978,301</td>
</tr>
<tr>
<td>Net assets, beginning of year</td>
<td>1,136,767</td>
<td>2,593,325</td>
<td>6,977,821</td>
<td>10,707,913</td>
</tr>
<tr>
<td><strong>NET ASSETS, END OF YEAR</strong></td>
<td>$1,505,202</td>
<td>$3,833,498</td>
<td>$7,347,514</td>
<td>$12,686,214</td>
</tr>
</tbody>
</table>
Donors and Supporters

Pathfinders—Lifetime Giving of $100,000 or more to VIMS or the VIMS Foundation

The Virginia Institute of Marine Science is proud to recognize the following individuals, corporations, and foundations.

Mr. and Mrs. A. Marshall Acuff, Jr.
Dr. Elizabeth L. Anderson and
Dr. Edward L. Delaney
Altria Group, Inc.
The Honorable Hunter B. Andrews and
Mrs. Cynthia C. Andrews
Anonymous
Bank of America/NationsBank
Mr. Matthew T. Blackwood
Blue Moon Fund
Bluewater Yacht Sales
Mr. Alex P. Buruss and
Mrs. Mary Catlett Buruss
The Keith Campbell Foundation
Mr. and Mrs. Charles Catlett
Mr. John W. C. Catlett, Jr.
Chesapeake Corporation
David P. Clifford
The Community Foundation Serving
Richmond and Central Virginia
CSX Corporation
Mrs. Rachel Dickhut
Dominion Resources, Inc.
Mr. and Mrs. H. R. Dunlap
Fetch, LLC
Fidelity Charitable Gift Fund
Fluor Foundation
Mr. Peter L. Foley
Friend of VIMS
Mrs. Inge Gläsel and Mr. Peter C. Gläsel
Mr. Lewis L. Glucksman and
Mrs. Loretta Glucksman
Mr. and Mrs. Floyd D. Gottwald, Jr.
Dr. and Mrs. Harry G. Hager, Jr.
Hemdon Foundation
Honeywell, Inc./AlliedSignal Foundation
Mrs. Ann Kauffman and
Mr. John P. D. Kauffman
The Mary Morton Parsons Foundation
Massey Foundation
Ms. Kathryn B. McQuade
Nature Conservancy
Norfolk Dredging Company
Norfolk Southern Corporation
Moses D. Nunnally Charitable Trust
The Offield Family Foundation
Elis Olsson Memorial Foundation
Owens Foundation
Prizm Ace, Inc.
Rous-Bottom Foundation
Smurfit-Stone Container Corporation
Southeastern Universities Research Association
SunTrust Foundation Mid-Atlantic
Mr. and Mrs. Thomas H. Tullidge, Jr.
Mr. Thomas H. Tullidge, Sr. and
Mrs. Florence G. Tullidge
Dr. Willard A. Van Engle
Estate of Mr. and Mrs. Woodford G. Vaughan, Jr.
Virginia Environmental Endowment
Mr. and Mrs. Alan Voorhees
Water Environment Research Foundation
Mr. A. Thomas and
Mrs. Page Hayden Young

The Maury Society

Named for the “Pathfinder of the Seas” Matthew Fontaine Maury, the Maury Society recognizes donors who make an annual gift of $1,000 or more. This group of dedicated patrons helps VIMS to continue Maury’s tradition of scientific discovery.

$100,000 plus
Anonymous
Blue Moon Fund
Fidelity Charitable Gift Fund
Massey Foundation
The Mary Morton Parsons Foundation

$50,000 - $99,999
Anonymous
Mr. and Mrs. Henry H. George

$10,000 - $49,999
Anonymous
Mr. and Mrs. R. Bruce Bradley
The Keith Campbell Foundation
Mary and J. P. Causey, Jr., Fund of
The Community Foundation
Serving Richmond and Central
Virginia
The Community Foundation
Serving Richmond and Central
Virginia
Mr. and Mrs. Julian F. Cox, Jr.
Dominion
Elizabeth River Project
Mrs. Inge Gläsel
James A. Hixon, Esquire
Mrs. Kathryn B. McQuade
National Fish & Wildlife Foundation
Norfolk Southern Foundation
Elis Olsson Memorial Foundation
Owens Foundation
Robert and Kathleen Roper
Family Fund of the Mathews
Community Foundation
Mr. A. Kenneth Scribner, Jr.
R. Gordon Smith, Esquire and
Mrs. Catherine B. Smith
Mr. and Mrs. William J. Strickland
The Willard A. Van Engel
Fellowship, Inc.
Ronald West Family Foundation
World Wide Fund for Nature

$5,000 - $9,999
Ms. Bentley R. Andrews
Anonymous
AECW Fund of The Community
Foundation Serving Richmond and
Central Virginia
Bluewater Yacht Sales
Dr. and Mrs. John D. Boon, III
Mr. J. P. Causey, Jr.
Mr. and Mrs. Guy Chapman
Ferguson Enterprises, Inc.
Fleets Bay Charitable Trust
The Garrett Foundation
Mr. Ken Hammond
Mr. W. Robert Jebson, Jr. and
Mrs. Sally Jebson

$1,000 - $2,499
Mrs. Sharon Q. Adams
Altria
Dr. Barbara A. Johnsen and
Mr. Stephen Johnsen
Ms. Nanci Johnson
Mr. and Mrs. James A. Jones, III
Mr. and Mrs. Lucy J. Kellam, III
Mr. A. Travis Massey
Mr. and Mrs. Charles J. Natale, Jr.
Tidewater Oyster Gardeners
Association
Mr. and Mrs. Charles B. Walker
Mr. and Mrs. A. Thomas Young

Anonymous
Ms. Cynthia V. Bailey
The Honorable and
Mrs. Robert S. Bloxom
ChevronTexaco Corporation
The Honorable and
Mrs. Morris D. Busby
Chesapeake Bay Trust
Covington Family Fund
Cutchins Family Fund
Dr. and Mrs. William P. Edmondson
Ms. Michelle English and
Mr. Robert Quartel, Jr.
First Advantage Federal Credit
Union
Dr. Carl T. Friedrichs and
Dr. Marjorie Friedrichs
Frethling & Robertson, Inc.
Gloucester Point Rotary Charitable
Foundation, Inc.
Mr. James M. Gottwald
Mr. and Mrs. Thomas E. Gottwald
Dr. and Mrs. John E. Graves
Mr. and Mrs. Carlton H. Hershner, Sr.
Mr. and Mrs. John B. Hill
Mr. E. Ben and Mrs. Margaret
Fremont Howerton
Mrs. Mary F. Kaltreider
Mr. and Mrs. Richard R. Lafferty
Mr. Lynton S. Land
Mrs. Jennifer Latour and
Dr. Robert J. Latour
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