1-1-2014

2014 Annual Report July 1, 2013 through June 30, 2014

Virginia Institute of Marine Science

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Dear Friends of VIMS:

As we celebrate the 75th anniversary of the Virginia Institute of Marine Science in 2015 with a commemorative booklet, website, and special events, we take this opportunity to thank our many friends and to provide a brief update on VIMS’ accomplishments during the 2013-14 fiscal year. We will return with a full-length Annual Report next winter.

It was another productive year at VIMS, with one highlight being the hiring of 7 new faculty members, bringing to 12 the total number of faculty brought onboard since 2012. Joining the VIMS faculty after national searches were Juliette Smith in Aquatic Health Sciences; Christine Meynard and David Johnson in Biological Sciences; Elizabeth Shadwick in Physical Sciences; and David Kaplan, Andrew Scheld, and Kevin Weng in Fisheries Science. We’re very excited about the ideas and research opportunities that our new faculty bring to the Institute.

VIMS faculty, staff, and students continued to bring new scientific knowledge to bear on the many challenges facing Chesapeake Bay and the coastal ocean, with a fuller account of their achievements available on our website. Good news for the Bay were reports of VIMS-mediated upswings in oyster aquaculture and continued success in seagrass restoration. We also made continued progress in helping Virginia understand and adapt to sea-level rise.

Members of the VIMS community were recognized with a number of external awards, including an Outstanding Faculty Award to Deborah Steinberg from the State Council of Higher Education for Virginia, Awards of Excellence to Rob Latour and James Gartland from the Atlantic States Marine Fisheries Commission, the Oscar E. Sette Award to Mary Fabrizio (American Fisheries Society), the Informal Educator Award to Lisa Ayers Lawrence (Mid-Atlantic Marine Education Association), and the Inventor of the Year Award to Stan Allen (New Jersey Hall of Fame).

Honors from William & Mary were on tap as well: Elizabeth Canuel received the Thomas Ashley Graves, Jr. Award for Sustained Excellence in Teaching, Andre Buchheister earned the Thatcher Prize for Excellence in Graduate and Professional Study, and Kenneth Moore and Deborah Steinberg were selected as recipients of 2014 Plumeri Awards for Faculty Excellence.

The VIMS Foundation closed the fiscal year at more than $12 million in combined endowment and expendable assets. Thanks to private support, the Foundation continues to benefit all aspects of VIMS, with several new endowments taking us into 2015 with some 43 separate endowment accounts. I am most grateful to our generous supporters.

Together with our anniversary document, we trust this report will help you appreciate the current and historical benefits that VIMS brings to Virginia, the nation, and the world. We greatly appreciate your help in creating the successes of our first 75 years, and look forward to even greater accomplishments in the years ahead.

John T. Wells
Dean and Director
Fellowships for students in William & Mary’s School of Marine Science at VIMS support a wide range of student needs including research equipment, travel, tuition, and stipends. Student awards recognize and reward qualities that lead to success in graduate school and subsequent careers—including commitment, initiative, scholarship, and interdisciplinary research.

**VIMS Foundation Fellowships & Awards**

H. Booker Andrews, Jr. Fellowship  
Solomon Chak  Biological Sciences, Ph.D.

Eric Miller  Physical Sciences, M.S.

Beasley Fellowship  
Erika Schmitt  Biological Sciences, M.S.

Dean’s Fellowship*  
Allison Golden  Fisheries Science, Ph.D.

Ryan Schloesser  Fisheries Science, Ph.D.

Joshua Stone  Biological Sciences, Ph.D.

Rebecca M. Dickhut Endowment for Support of Students and Young Early Career Scientists  
Associate Professor Juliette Smith  Aquatic Health Sciences

Grey Allison & H. Renwick Dunlap Fellowship  
Alison Deary  Fisheries Science, Ph.D.

Gloucester Point Rotary Club - Earnest J. Warinner Fellowship  
Cassidy Peterson  Fisheries Science, M.S.

Harry & Barbara Hager Fellowship  
Sara Blachman  Biological Sciences, M.S.

William J. Hargis, Jr. Endowment Fellowship  
Alison O’Connor  Physical Sciences, M.S.

Edward Holland Fellowship  
Daniel Kaufman  Biological Sciences, Ph.D.

Matthew Fontaine Maury Fellowship  
Jon Lefcheck  Biological Sciences, Ph.D.

James E. and Anne McCracken Rogers Graduate Student Fellowship  
Alexander Renaud  Biological Sciences, M.S.

Dr. Maynard Nichols and E. Jane Nichols Travel Fellowship  
Kelsey Fall  Physical Sciences Ph.D.

Julia Moriarty  Physical Sciences, Ph.D.

Xiao Tan Shen  Physical Sciences, Ph.D.

Norfolk Southern Fellowship  
Ann Arfken  Biological Sciences, Ph.D.

Robert Isdell  Biological Sciences, Ph.D.

John E. Olney, Sr. Ichthyology Award  
Adela Roa-Varon  Fisheries Sciences, Ph.D.

Juliette B. & Carroll W. Owens, Sr. Fellowship  
Kelsey Fall  Physical Sciences, Ph.D.

Kathleen & Robert Roper Fellowship  
William Goldsmith  Fisheries Science, M.S.

Craig L. Smith Memorial Educational Scholarship  
Julia Moriarty  Physical Sciences, Ph.D.

SunTrust Fellowship  
Mark Stratton  Fisheries Science, Ph.D.

Tidewater Oyster Gardener’s Association Fellowship  
Brendan Turley  Aquatic Health Sciences, M.S.

VIMS Council Fellowship  
Christopher Sweetman  Fisheries Science, Ph.D.

Kelley Watson Fellowship  
Isaac Irby  Biological Sciences, Ph.D.

Zeigler Fellowship  
Andrew Fallon  Physical Sciences, M.S.

Danielle Smith  Physical Sciences, Ph.D.

*Funded by the Office of Academic Studies.

The following fellowship and student-support endowments are building and will support students in the future: The Ferguson Enterprises Fellowship, the R. Gordon & Catherine B. Smith Fellowship, the Olsson Family Fellowship, the Strickland Family Fellowship, Bruce K. Goodwin Fellowship, Nancy & Henry H. George Fellowship, Mary Hedrick Causey Memorial Fellowship, and the General Graduate Student Support Endowment, which has been supported by numerous friends and alumni of VIMS.
VIMS Financials
Fiscal Year 2013-2014

Unaudited

<table>
<thead>
<tr>
<th>REVENUE</th>
<th>Amount</th>
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<tr>
<td>General Funds</td>
<td>$18,543,127</td>
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<tr>
<td>Nongeneral Funds</td>
<td></td>
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<tr>
<td>Tuition and Other Funds</td>
<td>$1,690,439</td>
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<tr>
<td>Sponsored Programs*</td>
<td>$20,495,957</td>
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<tr>
<td>Private Funds**</td>
<td>$874,706</td>
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<tr>
<td><strong>Total Revenue</strong></td>
<td><strong>$41,604,229</strong></td>
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<th>EXPENDITURES</th>
<th>Amount</th>
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<tr>
<td>Instruction</td>
<td>$1,189,483</td>
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<tr>
<td>Research and Advisory Services</td>
<td>$8,261,813</td>
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<td>Public Service</td>
<td>$5,535</td>
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<td>Academic Support</td>
<td>$4,798,528</td>
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<td>Institutional Support</td>
<td>$2,427,456</td>
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<td>Plant Operations</td>
<td>$4,030,348</td>
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<td>Student Financial Assistance</td>
<td>$317,056</td>
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<tr>
<td>Sponsored Programs</td>
<td>$20,495,957</td>
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<tr>
<td><strong>Total Expenditures</strong></td>
<td><strong>$41,526,176</strong></td>
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<th>CAPITAL EXPENDITURES</th>
<th>Amount</th>
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<td></td>
<td>$510,590</td>
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</table>

* Sponsored Programs revenue includes Grant & Contract expended revenues.

** Private Funds revenue includes program support to VIMS from the VIMS Foundation.
**Statement of Financial Position as of June 30, 2014**

<table>
<thead>
<tr>
<th>Assets</th>
<th>FY 2014</th>
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<tr>
<td><strong>Current Assets</strong></td>
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</tr>
<tr>
<td>Cash and cash equivalents</td>
<td>$810,635</td>
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<tr>
<td>Pledges receivable</td>
<td>426,745</td>
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<tr>
<td><strong>Total Current Assets</strong></td>
<td><strong>1,237,380</strong></td>
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<tr>
<td><strong>Investment in William &amp; Mary Investment Trust</strong></td>
<td><strong>11,244,964</strong></td>
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<tr>
<td><strong>Other Assets</strong></td>
<td></td>
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<tr>
<td>Pledges receivable</td>
<td>223,810</td>
</tr>
<tr>
<td><strong>TOTAL ASSETS</strong></td>
<td><strong>$12,706,154</strong></td>
</tr>
</tbody>
</table>

**Liabilities and Net Assets**

| Liabilities                                      |         |
| Accounts payable                                | $19,940 |

**Net Assets**

<table>
<thead>
<tr>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Revenue, Gains and Other Support</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions</td>
<td>$205,297</td>
<td>$751,150</td>
<td>$369,693</td>
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<tr>
<td>Net investment income</td>
<td>472,189</td>
<td>949,776</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>677,486</td>
<td>1,700,926</td>
<td>369,693</td>
</tr>
<tr>
<td>Net assets released from restrictions</td>
<td>460,753</td>
<td>(460,753)</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total Revenue, Gains and Other Support</strong></td>
<td><strong>1,138,239</strong></td>
<td><strong>1,240,173</strong></td>
<td><strong>369,693</strong></td>
</tr>
</tbody>
</table>

**Expenses**

| Grants to VIMS restricted for:                  |                        |                        |       |
| Instructions                                    | 97,481                 | -                      | -      | 97,481    |
| Research                                        | 277,148                | -                      | -      | 277,148   |
| Academic support                                | 11,390                 | -                      | -      | 11,390    |
| Institutional support                           | 199,531                | -                      | -      | 199,531   |
| Student financial assistance                    | 69,199                 | -                      | -      | 69,199    |
| Public support                                  | 5,535                  | -                      | -      | 5,535     |
| Management and general                          | 76,196                 | -                      | -      | 76,196    |
| Fundraising                                     | 33,324                 | -                      | -      | 33,324    |
| **Total Expenses**                              | **769,804**            |                        | -      | **769,804** |

**Change in Net Assets**

| Change in Net Assets                           | 368,435                | 1,240,173              | 369,693 | 1,978,301 |
| Net assets, beginning of year                  | 1,136,767              | 2,593,325              | 6,977,821 | 10,707,913 |
| **NET ASSETS, END OF YEAR**                    | **$1,505,202**         | **$3,833,498**         | **$7,347,514** | **$12,686,214** |
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Pathfinders—Lifetime Giving of $100,000 or more to VIMS or the VIMS Foundation

The Virginia Institute of Marine Science is proud to recognize the following individuals, corporations, and foundations.

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The Maury Society

Named for the “Pathfinder of the Seas” Matthew Fontaine Maury, the Maury Society recognizes donors who make an annual gift of $1,000 or more. This group of dedicated patrons helps VIMS to continue Maury’s tradition of scientific discovery.

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