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# 1 Characterization of infectious dose and lethal dose of 2 two strains of infectious hematopoietic necrosis virus 3 (IHNV)

4  
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## 13 14 **Abstract:**

15 The ability to infect a host is a key trait of a virus, and differences in infectivity could  
16 put one virus at an evolutionary advantage over another. In this study we have  
17 quantified the infectivity of two strains of infectious hematopoietic necrosis virus (IHNV)  
18 that are known to differ in fitness and virulence. By exposing juvenile rainbow trout  
19 (*Oncorhynchus mykiss*) hosts to a wide range of virus doses, we were able to calculate  
20 the infectious dose in terms of ID<sub>50</sub> values for the two genotypes. Lethal dose  
21 experiments were also conducted to confirm the virulence difference between the two  
22 virus genotypes, using a range of virus doses and holding fish either in isolation or in  
23 batch so as to calculate LD<sub>50</sub> values. We found that infectivity is positively correlated  
24 with virulence, with the more virulent genotype having higher infectivity. Additionally,  
25 infectivity increases more steeply over a short range of doses compared to virulence,  
26 which has a shallower increase. We also examined the data using models of virion  
27 interaction and found no evidence to suggest that virions have either an antagonistic or  
28 a synergistic effect on each other, supporting the independent action hypothesis in the  
29 process of IHNV infection of rainbow trout.

30 **Keywords:**

31 Infectivity, Virulence, Infectious hematopoietic necrosis virus, Infectious dose, Lethal  
32 dose, Independent action hypothesis

33

34

35 **1. Introduction**

36

37 The ability to infect a host is necessary for a virus to propagate, and if one virus  
38 strain can do so better, it will likely have a competitive advantage over other strains.  
39 Certainly, a variety of other parameters also contribute to the absolute fitness of a  
40 virus, such as replication, shedding, and duration of infection, all of which are shaped  
41 by virus and host factors (Wargo & Kurath, 2012). However, without the important first  
42 step of host entry and initiation of infection these other parameters cannot be realized  
43 and viral fitness is diminished to zero. In this paper, we are concerned with infectivity,  
44 defined here as the ability of a pathogen to enter a host and begin replication, and  
45 virulence, defined here as the ability of a pathogen to kill its host.

46 A long-standing question has been the relationship between virus infectivity and  
47 virulence. However, few studies have examined how the relationship between virulence  
48 and infectivity might depend on virus exposure dosage. The paucity of such studies is  
49 surprising considering that it is well known that for viruses, infection and mortality are  
50 heavily shaped by exposure dose. In fact, because of the strong effect of exposure dose  
51 on disease outcome, viral virulence has often been characterized across a range of  
52 dosages. Such studies often calculate the 50% lethal dose ( $LD_{50}$ ), i.e., the virus dose at  
53 which fifty percent of exposed hosts die (Reed & Muench, 1938; Knittel, 1981;  
54 Engelking & Leong, 1989; LaPatra et al., 1993; Kim & Faisal, 2010). The  $LD_{50}$  is typically  
55 determined in a controlled experiment in which a range of exposure doses are  
56 administered to equivalent groups of hosts, and the resulting mortality at each dose is  
57 used to generate a dose-response curve and calculate the  $LD_{50}$  value. Such studies also

58 make it possible to quantify the minimum lethal dose, the lowest dose at which  
59 mortality is observed (Kothary & Babu, 2001; Ward et al., 1986).

60         These LD<sub>50</sub> studies are often used to make inferences about infectivity, assuming  
61 high virulence strains cause greater mortality because higher numbers of hosts become  
62 infected. However, this assumed relationship between virulence and infectivity has  
63 several limitations. For example, many viruses cause disease that does not result in  
64 host death. Viruses can also cause sub-clinical infections, where the host becomes  
65 infected but suffers no clinical disease. For example, a study of infectious pancreatic  
66 necrosis virus in Atlantic salmon found that at low challenge dosages a larger  
67 percentage of fish become infected than succumb to mortality (Urquhart et al., 2008).  
68 Quantification of actual infection is thus critical for an accurate assessment of  
69 infectivity, which is an essential component of overall viral fitness. Infectivity can be  
70 quantified in much the same way as virulence. For example a range of viral exposure  
71 dosages can be administered, after which hosts can be tested for infection status at a  
72 specific time post-infection. The prevalence of infection at each exposure dose is then  
73 used to calculate the 50% infectious dose (ID<sub>50</sub>), i.e., the dose at which fifty percent of  
74 exposed hosts are infected. Though the methods used to detect infection are different,  
75 the ID<sub>50</sub> is determined in the same manner as the LD<sub>50</sub> (Reed & Muench, 1938). As with  
76 lethal dose, minimum infectious dose, the lowest dose needed to cause an infection,  
77 can also be quantified. Interpretation of virus infection studies is heavily dependent on  
78 the methods used, which differ in their sensitivity and specificity for live virus, viral  
79 genetic material, or host responses to infection. In this study we define infection as the  
80 presence of viral RNA in the host as detected by real-time reverse transcriptase qPCR.

81         In general fewer studies have been conducted examining ID<sub>50</sub> values compared  
82 to the number exploring LD<sub>50</sub> values. Among studies that determine both ID<sub>50</sub> and LD<sub>50</sub>  
83 values for various host:pathogen systems, the relationship between infectivity and  
84 virulence is not always consistent. For example, a study of avian influenza virus in wild  
85 duck and poultry found large host species effects on infectious dose, but within a host  
86 species, LD<sub>50</sub> values were tightly coupled with ID<sub>50</sub> values, suggesting virulence was  
87 correlated with infectivity (Swayne & Slemmons, 2008). However, in a study of Monkey

88 B virus in mice, the relationship between LD<sub>50</sub> and ID<sub>50</sub> was less consistent, with some  
89 of the strains with the lowest ID<sub>50</sub> values having the highest LD<sub>50</sub> values, suggesting  
90 virulence may be decoupled from infectivity (Ritchey et. al., 2005). Thus, investigation  
91 of infectivity and virulence for additional pathogens is of interest, and aquatic systems  
92 are ideal for experiments involving large numbers of hosts being exposed to a wide  
93 range of pathogen doses.

94 Examination of infectivity and virulence across a range of virus exposure dosages  
95 is a powerful method for comparing the traits of different virus strains. Such studies  
96 make it possible to reveal differences in virulence and infectivity that might not be  
97 apparent at single exposure dosages. This is because mortality and infectivity may  
98 saturate at the same levels for different virus genotypes, but the rate of increase in  
99 infection and mortality across exposure dosages may be different. In addition, the  
100 relationship between infectivity and exposure dose allows for an assessment of whether  
101 or not individual virions interact during the process of infection. For example, if there is  
102 a linear increase in the rate of infection as dose increases, this suggests that virions do  
103 not impact the infectivity of other virions. Here this is referred to as the independent  
104 action model, also sometimes referred to as the mass-action principle (Regoes et al.,  
105 2003; Schmid-Hempel, 2011). In contrast, if the rate of infection changes in a non-  
106 linear manner as the number of virions in the exposure dose increases, this would  
107 suggest that the virions interact with each other either in a synergistic or an  
108 antagonistic manner, here referred to as an interaction model. If there is a synergistic  
109 interaction, that could result in an invasion threshold, with a threshold dose (Regoes et  
110 al., 2003; Schmid-Hempel, 2011). In this case, if the host receives less than the  
111 threshold dose it will not become infected, and infection can only occur when the dose  
112 meets or exceeds the threshold dose. Ultimately, whether infectivity follows the  
113 independent action or interaction model can have influence epidemiological predictions  
114 about disease risk and spread (Schmid-Hempel, 2011; van der Werf, 2011).

115 Here we examined and compared prevalence of infection and mortality over a  
116 range of virus exposure doses to characterize the relationship between infectivity and  
117 virulence for an aquatic virus in fish hosts. We utilized a virus-host system that has

118 been well-studied *in vivo*, infectious hematopoietic necrosis virus (IHNV; order  
119 *Mononegavirales*, family *Rhabdoviridae*, genus *Novirhabdovirus*) in rainbow trout  
120 (Wargo et al., 2010; Kell et al., 2013; Peñaranda et al., 2009; Bootland & Leong, 2011;  
121 Zhang & Gui, 2015). In the western United States, IHNV is endemic in salmonid fish  
122 throughout a range from Alaska to California, as well as inland via rivers to Idaho  
123 (Bootland & Leong, 2011). Within this range three main genogroups occur: U, M, and L,  
124 each of which exhibit some host specificity (Kurath et al., 2003). Relevant to this study,  
125 the M genogroup is hypothesized to have arisen in rainbow trout (Kurath et al., 2003).  
126 Under certain conditions IHNV causes disease epidemics in salmonid fish, with mortality  
127 due to necrosis of the hematopoietic kidney and spleen tissues (Bootland & Leong,  
128 2011). Variation in virulence of IHNV strains has been reported in several studies, most  
129 often tested using a single high virus exposure dose (LaPatra et al., 1993; Garver et al.,  
130 2006; Wargo et al., 2010). However, infectious dose has not been previously quantified  
131 for IHNV.

132 We compared the infectious dose and lethal dose for two virus strains within the  
133 M genogroup of IHNV, previously characterized as having high virulence and low  
134 virulence in rainbow trout based on mortality caused to the host due to infection at a  
135 single, high challenge dose (Wargo et al., 2010). The rainbow trout used here were  
136 from an aquaculture stock that is not inbred, and thus provided a host background for  
137 testing viral traits that is relevant to field conditions. The two virus strains have been  
138 previously studied, and their virulence correlates positively with in-host viral replicative  
139 fitness, as well as host entry and shedding (Wargo et al., 2010; Wargo & Kurath, 2011).  
140 Here we exposed groups of juvenile rainbow trout to a range of doses of each genotype  
141 and then measured the infection prevalence, infection intensity, and daily mortality in  
142 order to quantify infectivity and analyze the relationship between exposure dose and  
143 both infection and mortality.

144 Five *in vivo* infection experiments were conducted using standardized one-hour  
145 batch immersion challenges to assure uniform, consistent virus exposure of fish within  
146 each group. Three of the experiments were independent infectious dose assays that  
147 determined ID<sub>50</sub> estimates and provided a measure of the variability in those estimates.

148 In these experiments fish were separated into isolated holding tanks after challenge to  
149 avoid cross-infection, and infection status was determined at 3 days post-exposure.  
150 The fourth experiment was a virulence assay that determined the lethal dose of each  
151 strain under the same isolation conditions used in the infectious dose assays, allowing  
152 direct comparison of ID<sub>50</sub> and LD<sub>50</sub> values for the two IHNV strains. Finally, as a  
153 secondary goal of this study we conducted a virulence assay using standard batch  
154 holding conditions, for comparison with the results of the virulence assay with fish held  
155 in isolation. This provided insight into how much of the mortality observed in standard  
156 batch challenge studies is due to holding conditions or secondary fish-to-fish infection.  
157 The combined data provide a comparison of the relationship between infectivity and  
158 lethality of two strains of a virus of differing virulence and expand upon the previous  
159 work done on the ecological parameters of various genotypes in the M genogroup of  
160 IHNV (Troyer et al., 2008; Wargo et al., 2010; Wargo & Kurath, 2011; Kell et al., 2013).

161

## 162 **2. Materials and methods**

163

### 164 *2.1. Virus and host*

165

166 For this study, we used two isolates of IHNV that differ in virulence. The more  
167 virulent strain is 220-90, referred to as HV for "high virulence"; the less virulent strain is  
168 WRAC (alternate name, 039-82), referred to as LV for "low virulence" (Wargo et al.,  
169 2010). Both strains were obtained from farmed rainbow trout in Idaho and have been  
170 previously characterized for virulence (LaPatra et al., 1994; Garver et al., 2006; Wargo  
171 et al., 2010). Over the glycoprotein gene of the virus there is 3.6% (58/1621  
172 nucleotides) divergence between HV and LV; over the entire genome, the divergence is  
173 2.8% (312/11,133 nucleotides) (Morzonov et al., 1995; Ammayappan et al., 2010). The  
174 preparation of viral stocks as well as quantification of viral titer has been previously  
175 described (Fijan et al., 1983; Batts & Winton, 1989; Troyer et al., 2008).

176

177 The fish were research-grade, juvenile, 1-3 g rainbow trout, provided by Dr.  
Scott LaPatra of Clear Springs Foods, Incorporated. The experiments were performed

178 on three different lots of fish from this source. Stock fish were maintained in flow-  
179 through freshwater that had been sand filtered and UV irradiated. All experiments were  
180 conducted at 15 °C. All animal procedures were approved by the University of  
181 Washington Institutional Animal Care and Use Committee.

182

### 183 *2.2. Virus challenges to determine infectious dose*

184

185 Three experiments to determine the infectious dose (ID) of each virus genotype  
186 were performed using identical methodology, differing only in the doses of virus  
187 administered and number of fish in each group, as shown in Table 1. The fish in the  
188 three ID experiment were from three different lots, with an average weight of 1.6 g, 1.1  
189 g, and 1.1 g respectively. In each experiment, groups of fish were exposed to a range  
190 of specific concentrations of virus, or mock exposed, by a 1 hour batch immersion in  
191 static water (Garver et al., 2006). Water flow was then turned on and the fish were  
192 washed for 1 hour. After the wash, the fish were isolated into 1 liter beakers containing  
193 400 ml static water, well before detectable replication or shedding of the virus occurred,  
194 to avoid cross-infection, and then held in isolation at 15 °C for three days, which is  
195 when the mean viral load has previously been shown to reach maximum levels (Troyer  
196 et al., 2008; Peñaranda et al., 2009). At this point each fish was euthanized, harvested  
197 aseptically, and stored in an individual Whirl-pak™ at -80 °C until RNA extraction and  
198 viral load quantification.

199

### 200 *2.3. RNA extraction and cDNA synthesis*

201

202 Total RNA was extracted from whole fish as previously described (Wargo et al.,  
203 2010). Briefly, 4 ml/g fish of guanidinium thiocyanate-based denaturing solution was  
204 added to each fish, and the fish was homogenized using a Seward Stomacher® 80  
205 (Biomaster). RNA was extracted from 1 ml of the homogenate with phenol-chloroform,  
206 precipitated, resuspended in 50 µl of water, and assessed for quality and concentration  
207 by spectrophotometry. The RNA samples were then stored at -80 °C until cDNA



208 synthesis using M-MLV reverse transcriptase with random heximer primers, as  
209 previously described (Wargo et al., 2010). A standard amount of 5  $\mu$ L of RNA was used  
210 in each cDNA reaction and the final 20  $\mu$ L of cDNA was diluted 1:10 in 180  $\mu$ L of water.

211

#### 212 *2.4. Viral RNA quantification via qPCR*

213

214 Viral load of HV or LV in each fish was quantified using genotype-specific qPCR  
215 assays as previously described (Wargo et al., 2010). Briefly, 5  $\mu$ L of each diluted cDNA  
216 sample was combined with forward and reverse primer and Taqman probe specific for  
217 either HV or LV and then amplified on a 7900HT ABI Prism machine. Since each fish  
218 was exposed to only one genotype, each cDNA sample was tested only for the  
219 genotype expected. Transcript RNA standards specific to each virus genotype were used  
220 for determining absolute viral RNA copy number. Verification of parity between the two  
221 genotype-specific assays has been reported previously (Wargo et al., 2010). These  
222 assays detect both genomic and messenger RNA (Purcell et al., 2006), and this  
223 combined quantity will be referred to as viral load per gram of host tissue.

224

#### 225 *2.5. Virus challenge to determine lethal dose for fish held in isolation*

226

227 The lethal dose in isolation (LD-isolation) was determined by challenging fish in  
228 batch as described above and then holding them in isolation for 30 days. These  
229 experiments were performed on the same lot of fish as the third ID experiment,  
230 approximately three months later. Groups of 20 fish with average weight 1.2 g were  
231 challenged by batch immersion in 1 L of static water containing one of three specific  
232 doses of HV or LV, as shown in Table 1. In addition, one group of 20 control fish was  
233 mock-exposed. After the 1 hour challenge, the water was turned on for a one-hour  
234 rinse, and then individual fish were netted into 1.5 L tanks in a tower rack system  
235 (Aquatic Habitats). These tanks provided independent flow-through water for each fish.  
236 After isolation, the fish were monitored daily for a period of 30 days at 15 °C. Each  
237 treatment group had a total of 20 fish, except for LV at the  $10^4$  plaque-forming units

238 (PFU)/ml dose and HV at the  $10^3$  PFU/ml dose, which both had 19 fish. To confirm virus  
239 as cause of death, plaque assays were performed on approximately 50% of the fish  
240 that died during the experiment (Burke & Mulcahy, 1980; Batts & Winton, 1989).

241

#### 242 *2.6. Virus challenge to determine lethal dose for fish held in batch*

243

244 Fish pathogen mortality experiments are traditionally done in batch with replicate  
245 groups of fish held together for the duration of the experiment. While batch conditions  
246 are believed to most closely mimic natural conditions, they differ from the isolation  
247 conditions used to determine infectivity, and these differences could potentially impact  
248 virulence. For example, while the initial doses for batch and isolation treatments are the  
249 same, over the course of the experiment the fish held in batch have the potential to  
250 transmit virus to each other. As such, fish in the batch conditions may receive further  
251 exposure to virus that is not possible in the isolation conditions. Batch conditions also  
252 potentially have different stressors for the fish than isolation conditions. Therefore, in  
253 order to address questions about the differences in mortality assessed in different  
254 holding conditions and to more directly compare results with previous data (Troyer et  
255 al., 2008; Wargo et al., 2010; Wargo & Kurath, 2011; Kell et al., 2013), a lethal dose  
256 experiment in which fish were held in replicate batch groups was conducted in addition  
257 to the one in which they were held in isolation conditions.

258 The batch lethal dose experiment (LD-batch) was performed simultaneously with  
259 the LD-isolation experiment described above. The procedure is similar to that described  
260 in Wargo et al., 2010 and Breyta et al., 2014. Triplicate groups of 20 fish were  
261 challenged along with the LD-isolation fish, in addition to one group of 20 control fish  
262 that were mock-exposed. After 1 hour of exposure, the water was turned on and  
263 allowed to flow for the duration of the experiment. Fish were held in groups of 20 and  
264 daily monitoring for mortality proceeded for 30 days at 15 °C, as in the LD-isolation  
265 experiment. One of the tanks of LV at  $10^4$  PFU/ml had a malfunction and was lost, so  
266 this treatment had duplicate tanks. Approximately 20% of the fish that died were  
267 titered for virus by plaque assay as above.

268

269 *2.7. Statistical analyses*

270

271 The infection and mortality data were used to calculate the projected doses at  
272 which fifty percent of fish were infected (ID<sub>50</sub>), died in isolation (LD<sub>50</sub>-isolation), or died  
273 in batch (LD<sub>50</sub>-batch). The calculations of ID<sub>50</sub> and LD<sub>50</sub> values were both done using  
274 generalized linear models (GLM) in the statistical program R, version 3.3.1 (R Core  
275 Team, 2015), using the dose.p function of the Mass package (Venables & Ripley, 2002)  
276 with a quasibinomial distribution prior, as described in Breyta et al., 2014. To calculate  
277 the ID<sub>50</sub> values, numbers of infected versus uninfected fish were quantified. To  
278 calculate LD<sub>50</sub> values numbers of dead versus alive fish were quantified. Significant  
279 differences between the suite of ID<sub>50</sub> and LD<sub>50</sub> values generated were calculated using  
280 the Welch-Satterthwaite 2-tailed *t*-test in R (Breyta et al., 2014). Results from the LD  
281 experiments indicated that mortality did not bracket 50% in all cases, leading to  
282 uncertainty in the calculated LD<sub>50</sub> value. Therefore, using the same methods, we  
283 calculated the doses at which 25% of the fish exposed to LV died (LD<sub>25</sub>) and the doses  
284 at which 75% of the fish exposed to HV died (LD<sub>75</sub>). Differences in the kinetics of  
285 mortality were assessed using Kaplan-Meier curve and log-rank test functions of the  
286 Survival package of R (Therneau 2015), comparing the pooled doses of each treatment  
287 against each other. Viral load data were compared using a generalized linear model  
288 with response variable log-transformed viral load and explanatory factors virus  
289 genotype (HV vs. LV), challenge dose, and experiment. A Tukey multiple comparison of  
290 means test was used to determine differences between factors levels.

291 To determine if the relationship between proportion of fish infected and  
292 challenge dose fit the independent action hypothesis (also referred to as mass-action  
293 principle) the method suggested in (Regoes et. al., 2003) was utilized. To do so, the  
294 challenge dose and percent fish infected data from all infectivity experiments was fit to  
295 an independent action hypothesis model ( $f = 1 - e^{-b*d}$ ) and an interaction hypothesis  
296 model ( $f = 1 - e^{-b*d^k}$ ), where  $f$  = proportion of fish infected from raw data, 1 = the  
297 maximum proportion of fish that can become infected,  $b$  = infection rate determined

298 from model fit,  $d$  = challenge dose, and  $k$  = interaction term determined from model fit.  
299 If  $k = 1$  this indicates virions act independently of each other, and thus supports the  
300 independent action hypothesis. If  $k < 1$  this suggests there is an antagonistic interaction  
301 between virions such that as more virions are added they each have a harder time  
302 infecting the host. If  $k > 1$  this indicates there is a synergistic interaction between virions  
303 such that as more virions are added they each have an easier time infecting the host.  
304 The models were fit to the data using the function "nls" in R version 3.2.0, to calculate  
305 values for  $b$  and  $k$ . Whether or not the data had a significantly better fit to the  
306 interaction model or the independent action model was then determined using an F-test  
307 with the "anova" function in R. This was further evaluated by determining if 1.96X the  
308 standard error of  $k$  overlapped with 1. These analyses were conducted for genotypes  
309 HV and LV separately, to determine if the relationship between challenge dose and  
310 proportion of fish infected was different for the two genotypes.

311

### 312 **3. Results**

313

#### 314 *3.1. Determination of infectious dose for high and low virulence genotypes, HV and LV*

315

##### 316 *3.1.1. Infection prevalence in three infectious dose (ID) experiments*

317 The first ID experiment tested a broad range of challenge doses from  $10^1$  to  
318  $2 \times 10^5$  PFU/ml. The results indicated that HV and LV functioned similarly with respect to  
319 the percent of fish infected at each dose (Figure 1A), such that for both genotypes no  
320 fish were infected at the lowest dose and there was 100% infection at the highest dose.  
321 At the  $10^3$  and  $10^4$  PFU/ml doses, although LV had a lower frequency of infection than  
322 HV, the differences corresponded to only one fish and were not significant ( $p > 0.05$ ).  
323 In the second experiment we tested additional doses in the  $10^3$  to  $10^4$  PFU/ml range  
324 (Figure 1B). HV infectivity was reproducible for the two doses tested in both  
325 experiments,  $10^3$  and  $10^4$  PFU/ml. The additional doses in between  $10^3$  and  $10^4$  PFU/ml  
326 resulted in a regular increase in percent infection with HV. For LV, however, the percent  
327 of fish infected in the second experiment was lower overall than in the first experiment,

328 and there was no dose response between  $5 \times 10^3$  and  $10^4$  PFU/ml. In the third  
329 experiment, the overall frequencies of infection were higher for both HV and LV than in  
330 the previous two experiments (Figure 1C). For HV the percent infection increased more  
331 rapidly between the  $5 \times 10^2$  and  $10^3$  PFU/ml doses, compared with the first and second  
332 experiments, and then slowly climbed as the dose increased, reaching 100% infection  
333 at  $10^4$  PFU/ml as before. LV, in contrast with the second experiment, exhibited a more  
334 regular stepwise increase in infection rate along the entire dose range, similar to the  
335 manner of increase seen for HV in the three experiments, though at lower infection  
336 frequencies. Overall, the percent of fish infected with LV was lower than HV at all doses  
337 in experiment 3, and LV never reached 100% infection, even at  $10^5$  PFU/ml.

338

### 339 *3.1.2. Analysis of infectivity data*

340 The results of all three ID experiments were used to determine the minimum  
341 infectious dose observed. For LV, the lowest dose that caused infection was  $10^3$  PFU/ml  
342 in experiments 1 and 3, and  $2.5 \times 10^3$  PFU/ml in experiment 2. For HV the minimum  
343 infective dose was  $5 \times 10^2$  PFU/ml in experiments 2 and 3 and  $10^3$  PFU/ml in experiment  
344 1 where the  $5 \times 10^2$  PFU/ml dose was not tested. This data also gives an estimate of the  
345 probability of infection at the minimum dose where infection was observed. For both LV  
346 and HV, this was 10-20%. However, because of the number of fish used in each  
347 experiment, differences in probability less than 10% in experiments 1 and 2 and  
348 differences less than about 7% in experiment 3 cannot be resolved.

349 The percent infection data from each experiment was then used to calculate  
350 50% infectious dose ( $ID_{50}$ ) values for both genotypes (Figure 2). In each experiment,  
351 the  $ID_{50}$  for LV was significantly higher than for HV (experiment 1,  $t = 13.8$ ,  $df = 3.01$ ,  
352  $p = 0.001$ ; experiment 2,  $t = 3.38$ ,  $df = 6.94$ ,  $p = 0.012$ ; experiment 3,  $t = 8.33$ ,  $df =$   
353  $8.50$ ,  $p < 0.001$ ). Therefore, a lower dose is needed to infect 50% of fish with HV than  
354 with LV. We also calculated the mean of the  $ID_{50}$  values from the three experiments as  
355  $9.83 \times 10^3$  PFU/ml for LV and  $1.94 \times 10^3$  PFU/ml for HV (Figure 2). The significant  
356 difference between the genotypes remained ( $t = 4.76$ ,  $df = 33.5$ ,  $p < 0.001$ ). By these  
357 measures, HV is approximately 5-fold more infectious than LV.

358

359 *3.1.3. Viral load data from infectivity experiments*

360 Overall, the viral load data for all virus-positive fish from all the ID experiments  
361 were similar across dose and genotype (Figure 3). While the viral loads of individual fish  
362 did vary, there were almost no significant differences between the means of the log-  
363 transformed viral loads, either between doses or between genotypes ( $p > 0.05$ ). The  
364 one exception was in the first experiment, where the combined mean viral load for both  
365 HV and LV at  $10^4$  PFU/ml was significantly lower than the combined mean viral load at  
366  $2 \times 10^5$  PFU/ml ( $F_{2,34} = 1.87$ ,  $p = 0.0108$ ).

367

368 *3.2. Virulence experiments in HV and LV*

369

370 *3.2.1. Determination of lethal dose in isolation*

371 The final mortality for LD-isolation ranged between 42-60% for HV and 20-26%  
372 for LV (Figure 4A). For HV, mortality in the  $10^5$  PFU/ml dose was higher than the  $10^3$   
373 and  $10^4$  PFU/ml doses, which were similar in final mortality. However, the kinetics for all  
374 three doses of HV showed a clear dose response; the highest dose had the most rapid  
375 mortality initially, and the lowest dose initially had the slowest mortality. For LV, there  
376 was no strong dose response in either kinetics or final mortality. Although mortality in  
377 the mock treatment group was 15%, all three fish that died were negative for IHNV via  
378 plaque assay. The level of mortality in the mock treatment groups indicated that there  
379 might have been elevated non-specific mortality in the virus-exposed fish as well.  
380 However, all mortalities titered from the virus-exposed groups (approximately 50% of  
381 all fish that died) were positive via plaque assay, with average log-transformed titers of  
382  $6.61 \pm 0.40$  standard error PFU/ml for HV and  $6.50 \pm 0.27$  standard error PFU/ml for  
383 LV. These virus titers are in the range commonly seen in fish that die after IHNV  
384 exposure (Breyta et al., 2014), indicating that they died as a result of viral infection.

385

386 *3.2.2. Determination of lethal dose in batch*

387 Mortality curves for LD-batch were generated from the daily average cumulative  
388 percent mortality of the three replicate tanks for each genotype and dose, with the  
389 exceptions of the LV  $10^4$  PFU/ml dose, which had duplicate tanks, and the mock-  
390 infected group, which only had one tank (Figure 4B). For HV, the average mortality  
391 ranged from 60-78%. However, there was not a clear dose response for the  $10^3$  and  
392  $10^4$  PFU/ml doses, both of which had similar kinetics of mortality. The mortality for the  
393 three doses of LV ranged from 32-47% and followed a clearly separated dose response  
394 in both kinetics and final mortality. When comparing virulence in batch to that in  
395 isolation, for HV the final mortality at each dose was 15-18% higher in batch, and for  
396 LV it was 12-14% higher for the  $10^3$  and  $10^4$  PFU/ml doses and 22% higher for the  $10^5$   
397 PFU/ml in batch. Neither genotype bracketed 50% final mortality; HV mortality was all  
398 above 50%, and LV mortality was all below 50%. One fish died in the mock treatment  
399 group and it was negative for virus via plaque assay. Approximately 20% of the virus-  
400 exposed fish that died were tested for virus and all were positive, with average log-  
401 transformed titers of  $6.29 \pm 0.019$  standard error PFU/ml for HV and  $5.80 \pm 0.70$   
402 standard error PFU/ml for LV.

403

### 404 *3.2.3. Analysis of mortality data*

405 Differences in virulence between HV and LV were assessed by survival analysis  
406 on data from the lethal dose experiments. Log rank tests on the combined Kaplan-  
407 Meier estimates for dose and genotype indicated that mortality was significantly higher  
408 for HV than LV, both in isolation ( $\chi^2 = 8.4$ ,  $df = 1$ ,  $p = 0.0037$ ) and in batch ( $\chi^2 = 27.4$ ,  
409  $df = 1$ ,  $p < 0.001$ ). This confirms the expected phenotypic difference in virulence  
410 between HV and LV. Additionally, mortality for both HV and LV was significantly higher  
411 in batch than in isolation ( $\chi^2 = 5.9$ ,  $df = 1$ ,  $p = 0.015$  and  $\chi^2 = 4.7$ ,  $df = 1$ ,  $p = 0.031$ ,  
412 respectively).

413 The 50% lethal dose ( $LD_{50}$ ) values were calculated in the same manner as the  
414  $ID_{50}$  values (Figure 5). For both lethal dose experiments the calculated  $LD_{50}$  values for  
415 genotypes HV and LV did not differ significantly ( $P > 0.05$ ), despite differing by more  
416 than 8 orders of magnitude for  $LD_{50}$ -isolation, and 2 orders of magnitude for  $LD_{50}$ -

417 batch. This is likely because neither HV nor LV bracketed 50% mortality across the  
418 exposure doses, with the exception of HV in isolation, and they fell on opposite sides of  
419 the 50% level (Figure 4). Therefore, calculating LD<sub>50</sub> values required inferring the  
420 relationship between dosage and mortality outside the range of available data, leading  
421 to wide 95% confidence intervals. Furthermore, the calculated LD50 above 10<sup>12</sup> pfu/ml  
422 for LV in isolation suggested that there is no feasible dose of LV that would be able to  
423 cause 50% mortality under isolation conditions. In light of this, we also calculated LD<sub>25</sub>  
424 and LD<sub>75</sub> values that were bracketed by the LV and HV data respectively (Figure 5).  
425 This gives us the most accurate values for each genotype.

426

### 427 *3.3. Independent action hypothesis test*

428 Analysis of our infectivity data indicated that the relationship between virus  
429 challenge dose and the proportion of fish infected was better explained by the  
430 independent action hypothesis compared to the interaction hypothesis, for both  
431 genotype HV and LV (Figure 6). This result was supported by a F-test comparison of the  
432 data fit to the two models (Anova; HV:  $F_{1,17}=0.28$ ,  $p=0.6$ ; LV:  $F_{1,17}=0.26$ ,  $p=0.6$ ), as well  
433 as determination that there was insufficient evidence to indicate the interaction term,  $k$   
434 was significantly different from 1, for either genotype (HV:  $k = 0.868 \pm 0.24$ ; LV:  $k =$   
435  $1.26 \pm 0.51$ ; gives mean  $\pm 1$  standard error), in the formula  $f = 1 - e^{-b \cdot d^k}$  (described in  
436 methods). As such, the results suggest that the infection process operates under a  
437 similar mechanism for HV and LV where individual virions do not inhibit or enhance the  
438 infectivity of other virions. Furthermore, both genotypes were able to achieve 100% of  
439 fish infected at high dosages, supporting the usage of a value of 1 for the maximum  
440 proportion of fish infected in the model. However, the analysis did reveal that the  
441 infectivity rate parameter ( $b$ ) was 3-fold higher for HV ( $b= 2.55 \times 10^{-4}$  proportion fish  
442 infected/PFU virus  $\pm 0.55 \times 10^{-4}$ ; mean  $\pm 1$  standard error) compared to LV ( $b= 8.00 \times$   
443  $10^{-5}$  proportion fish infected/PFU virus  $\pm 1.57 \times 10^{-5}$ ). This indicates that infection  
444 increases more quickly as virus exposure dose increases, for HV compared to LV.

445

## 446 **4. Discussion**



447

448 This study was designed to provide data on how infectivity relates to virulence  
449 for two well-studied IHNV genotypes that are known to differ in both in-host fitness and  
450 virulence (Wargo et al., 2010; Wargo & Kurath, 2011). Overall, the results consistently  
451 showed that HV had a higher infectivity than LV, which correlated with its confirmed  
452 higher virulence. Furthermore, HV showed a more rapid increase in infectivity with  
453 increasing exposure dosage, compared to LV, as indicated by the dose response data  
454 and the independent action model. The previously documented replicative fitness  
455 difference between HV and LV (Wargo 2010; 2011) also correlated with the increased  
456 infectivity shown here. This confirms the finding from previous work (Wargo & Kurath,  
457 2011) that HV has an advantage over LV in host entry, based on comparison of in-host  
458 fitness differences after viral infection by immersion versus injection. This advantage is  
459 likely to be most pronounced at an intermediate range of viral exposure doses, because  
460 at very high or very low doses infection saturated at 100% or 0% for both genotypes  
461 (Figure 1A). Thus neither genotype should have an advantage over the other in the  
462 number of fish that become infected at extreme high or low doses, but at intermediate  
463 dosage HV is predicted to infect more fish than LV, and thus have greater overall  
464 fitness.

465 A previous investigation of IHNV in fish farms found that virus titers in water  
466 prior to an epizootic range from undetectable to 0.07 PFU/ml, and in the early stages of  
467 an epizootic titers were measured at around 50 PFU/ml (Zhang & Congleton, 1994). At  
468 these low levels, our data suggests infection is unlikely to occur with either genotype,  
469 so fitness differences would not be realized. However, because our sample sizes were  
470 between 10-15 fish, our data cannot resolve differences less than 7-10%, and it may be  
471 that even at the low doses tested there are quantitatively small but biologically relevant  
472 differences in infectivity, especially when fish population sizes are large. Additionally,  
473 the duration of exposure in the current experiment was one hour, and exposure times  
474 in the field are likely much longer, perhaps measured in days or weeks. Although the  
475 relative ability to infect given longer exposure times has not been well characterized, we  
476 have observed that for IHNV a longer immersion exposure does result in a higher

477 prevalence of infection (Troyer et al., 2008). This was further supported by the viral  
478 load data in the present study. Here, there were no differences observed in the viral  
479 load of infected fish between genotypes HV and LV. However, a previous study found  
480 within-host viral loads for genotype HV were consistently higher than for LV, and a  
481 larger proportion of fish were infected at the  $10^4$  PFU/ml challenge dose (Wargo et al.,  
482 2010; Wargo & Kurath 2011). The primary difference between these studies was that in  
483 the previous work, fish were exposed to virus for 12 hours, whereas they were exposed  
484 for 1 hour, and viral load was quantified 12 hours earlier, in the current study. It may  
485 be that the longer immersion challenge allows for infection by more virions, resulting in  
486 faster viral replication kinetics that result in different viral loads, but further research is  
487 needed to discern the effects of exposure time on infection and viral load.

488 In order to link infectivity and mortality data, we conducted LD experiments in  
489 conjunction with the third ID experiment. The results indicated that the processes of  
490 infection and virulence respond differently to variation in exposure dose. In almost all  
491 cases percent infection increased with increasing dose at a different rate than percent  
492 mortality, and infection prevalence was higher than mortality (Figure 7). Furthermore,  
493 large increases in percent infection as dose increased were associated with relatively  
494 small increases in percent mortality. The exception to this was for the increase from the  
495  $10^4$  PFU/ml to the  $10^5$  PFU/ml dose of HV, where no increase in percent infection was  
496 possible due to infection being at 100% at both doses, but there was an increase in  
497 mortality by 15%. This implies that the exposure dose can influence mortality even  
498 when all fish are infected. The calculated  $LD_{50}$  values also supported the conclusions  
499 that infection does not guarantee death in that  $ID_{50}$  values were lower than the  $LD_{50}$   
500 values in nearly all cases (Figure 8). This indicates it takes more virions to kill than to  
501 infect the same number of fish and that while infectivity plays a role in determining  
502 virulence, it is likely not the only factor.

503 It was interesting that despite the significant differences in virulence between HV  
504 and LV by survival analyses, the calculated  $LD_{50}$  estimates did not differ significantly for  
505 either lethal dose experiment. This was largely because mortality did not bracket 50%  
506 for either genotype and thus uncertainly around the calculated  $LD_{50}$  values was large.

507 This implies that significant differences between LD<sub>50</sub> values may be difficult to obtain  
508 for virus genotypes that cause widely different levels of mortality. Thus, while this study  
509 is consistent with previous publications that virulence is correlated with previously  
510 demonstrated differences in fitness for IHNV (Peñaranda et. al., 2009; Wargo et. al.,  
511 2010; Wargo & Kurath, 2011), these results stress the importance of considering  
512 survival kinetics when quantifying virulence.

513 The combination of batch versus isolation virulence experiments made it possible  
514 to examine how holding conditions impact mortality. The difference between percent  
515 mortality in the two holding conditions was consistently 12-22% higher in batch across  
516 the challenge doses for both genotypes. This might be due in part to the fact that in  
517 batch, infected fish are shedding virus into the water, which could be responsible for  
518 multiple rounds of infection. The fact that the 10<sup>3</sup> PFU/ml dose of LV had a higher rate  
519 of mortality than infection supports this theory (Figure 6). However, the fact that the  
520 10<sup>4</sup> and 10<sup>5</sup> PFU/ml doses of HV both had 100% infection, yet there was still an  
521 increase in mortality, suggests that other factors might also be involved. Furthermore,  
522 one would expect the increase in mortality due to multiple rounds of infections to be  
523 greatest when the fewest number of fish were initially infected, for example at the 10<sup>3</sup>  
524 PFU/ml dose, because a large number of fish are remaining for potential infection.  
525 However the increase in mortality between batch and isolation was surprisingly  
526 consistent, regardless of how many fish were initially infected. Constant exposure to  
527 virus could force the infected fish to divert resources to fighting the exposure, which  
528 allows the established infections to cause a higher incidence of mortality. It is  
529 reasonable to consider that manner of exposure (i.e. through shedding or original  
530 inoculum) could play a significant role in mortality rate, just as longer exposure times  
531 resulted in higher infection frequencies and different viral loads in previous studies for  
532 IHNV (this study compared with Wargo & Kurath, 2011). Additionally, the batch and  
533 isolation treatments imposed different stressors on the fish, which could have affected  
534 mortality rates differently. High fish density in hatcheries increases probability of  
535 contact between infected fish and has been linked to increased stress and lower water  
536 quality (Bootland & Leong, 2011). However, rainbow trout are also social animals and

537 moving individual fish to isolation may be a stressor (Øverli et al., 2002; Øverli et al.,  
538 2005). Determining the role of stress, holding conditions, and multiple rounds of  
539 transmission in driving IHNV induced fish mortality warrants further study.

540 In this study we have illuminated a previously unexplored relationship between  
541 infectivity and virulence in an aquatic virus. We have shown that while infectivity does  
542 indeed correlate with virulence, it does not appear to be the only driving factor. To our  
543 knowledge, such detailed work comparing infectivity and lethality has not been done  
544 with IHNV in rainbow trout or with any other fish pathogen; as such it can serve as a  
545 point of comparison for future studies. Due to variation in absolute mortality levels  
546 observed in IHNV virulence studies repeated in different years (Breyta et al., 2014), it is  
547 valuable to have infectivity and mortality data from the same year with the same lot of  
548 fish. This was also evident in the current study, as some variation in infectivity was  
549 observed between experiments conducted with different lots of fish. In future work  
550 similar studies with other viral strains in multiple hosts will help determine if infectious  
551 dose is as variable as lethal dose across different viral strains, and if infectivity and  
552 lethality vary in the same manner. Examination of the exposure dose response of  
553 mortality and infection also makes it possible to characterize heterogeneity of host  
554 susceptibility (Rodrigues et. al., 2009). This is essential for understanding  
555 epidemiological patterns and can greatly enhance pathogen control (Gomes et. al.,  
556 2014). For example, our study suggested that the relationship between infectivity and  
557 exposure dose fits the independent action hypothesis. However, it is possible that virion  
558 interactions do occur but this was masked by susceptibility heterogeneity (Rogeos et.  
559 al., 2003; van der Werf, et. al., 2011). More importantly, this work clearly shows that  
560 infectivity and virulence differences between pathogen strains may not be evident at  
561 very high or very low exposure doses, and thus it is important to examine a range of  
562 exposure doses to determine where fitness differences are the most important. The  
563 evolutionary implications of this dose response to pathogen fitness differences warrant  
564 consideration. In summary, this work has demonstrated that for IHNV in rainbow trout  
565 viral infectivity is positively correlated with virulence, but the ID<sub>50</sub> values varied less  
566 than the LD<sub>50</sub> values. This serves as a valuable example of the relationship between

567 viral infectivity and virulence in a naturally co-evolved vertebrate host-pathogen  
568 association.

569

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571

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583

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<b>Experiment</b>	<b>Fish lot</b>	<b>Exposure doses (PFU/ml)</b>	<b># fish/dose/strain (at initial batch challenge)</b>	<b>Experiment duration</b>	<b># fish/tank (for holding)</b>
Infectious dose 1	1	$10^1, 10^2, 10^3, 10^4, 2 \times 10^5$	10	3 days	1 individual
Infectious dose 2	2	$5 \times 10^2, 10^3, 2.5 \times 10^3, 5 \times 10^3,$ $7.5 \times 10^3, 10^4, 10^5$	10	3 days	1 individual
Infectious dose 3	3	$5 \times 10^2, 10^3, 2.5 \times 10^3, 5 \times 10^3,$ $7.5 \times 10^3, 10^4, 10^5$	15	3 days	1 individual
Lethal dose, isolation	3	$10^3, 10^4, 10^5$	20	30 days	1 individual
Lethal dose, batch	3	$10^3, 10^4, 10^5$	3 groups of 20	30 days	20 grouped

711

712 Table 1: Infectious dose and lethal dose experimental designs. All experiments had a 1-hour immersion challenge in  
713 batch. The "Experiment duration" and "# fish/tank" columns describe the holding conditions after the 1-hour challenge.

714 Exposure doses are given in plaque-forming units (PFU) per milliliter.

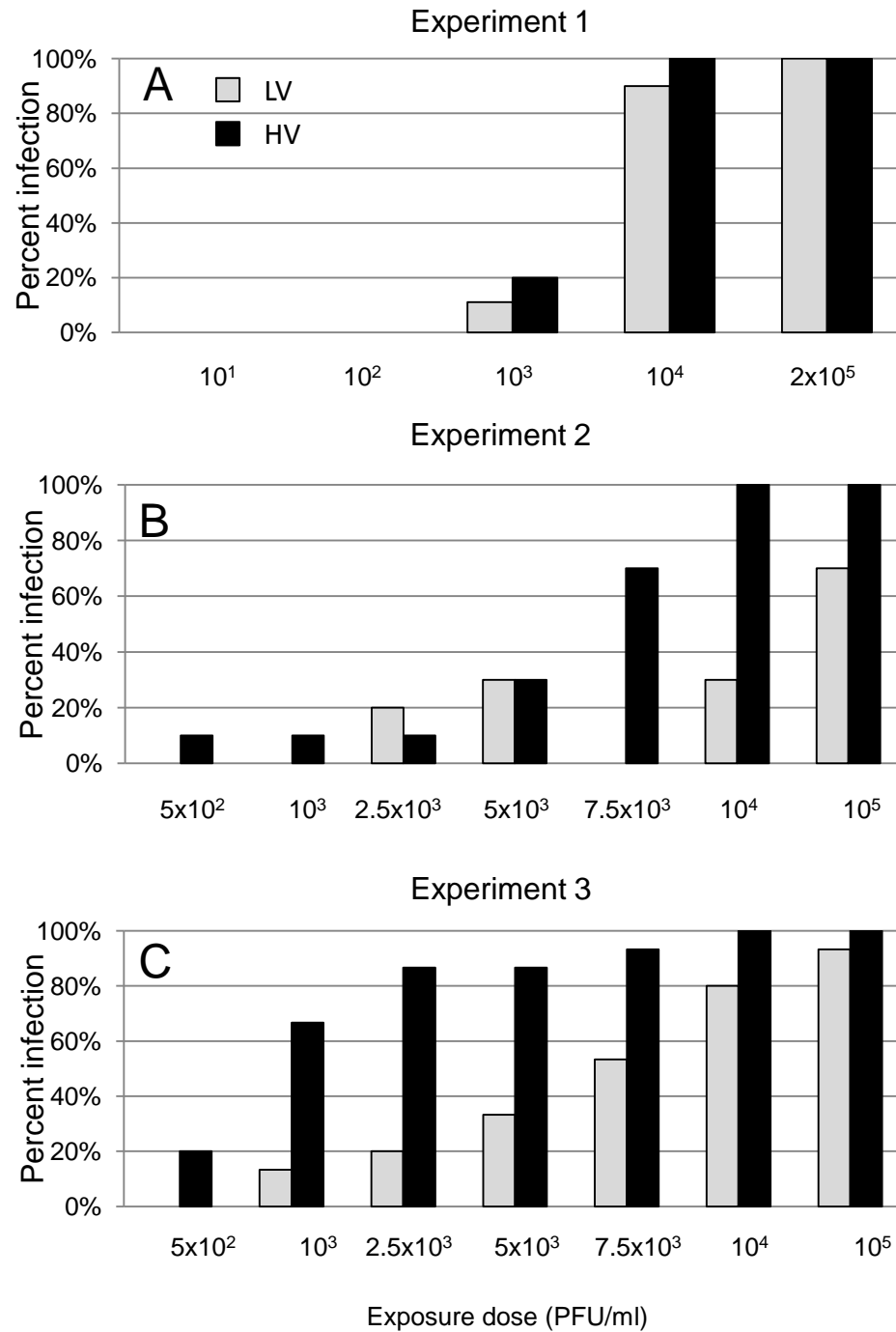


Figure 1

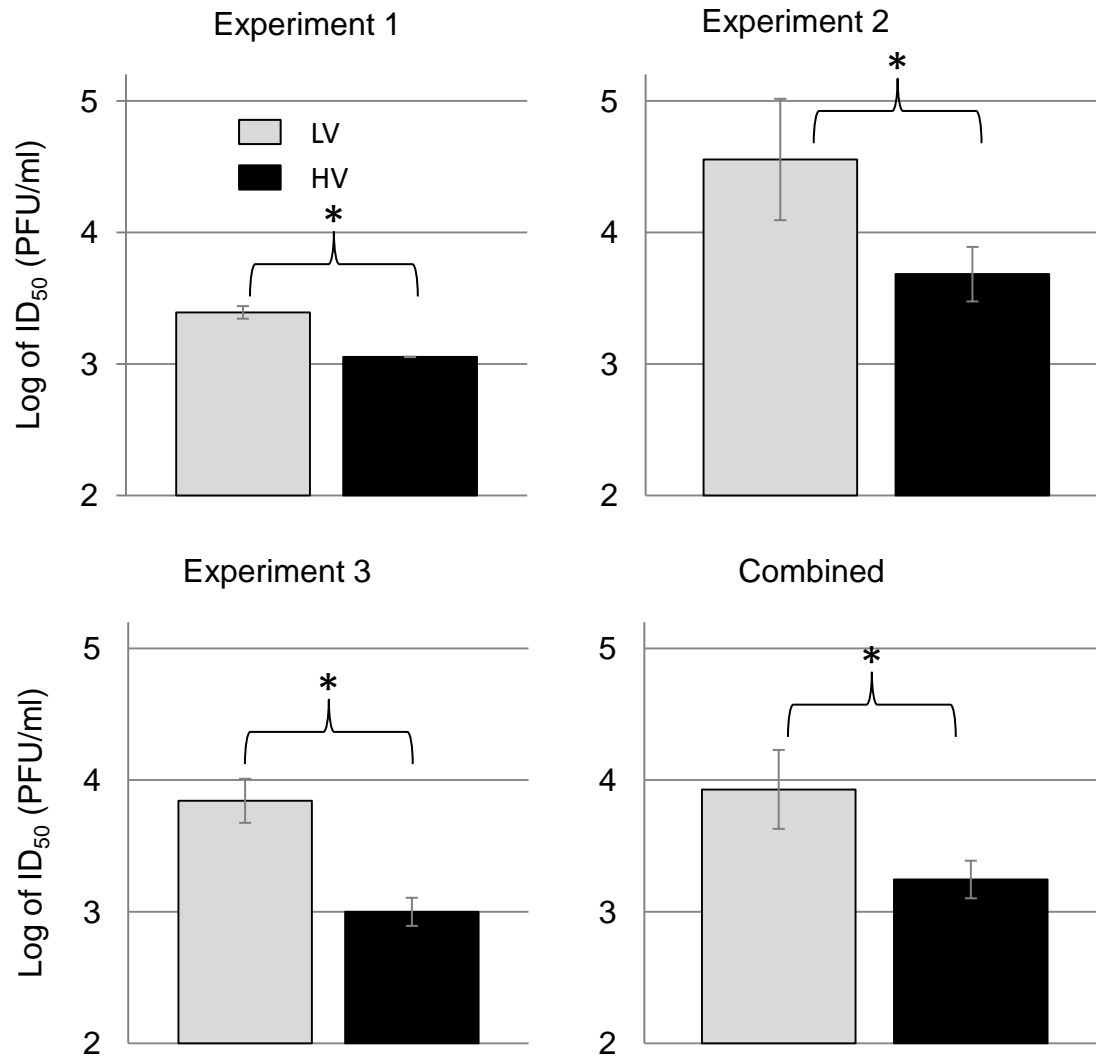


Figure 2

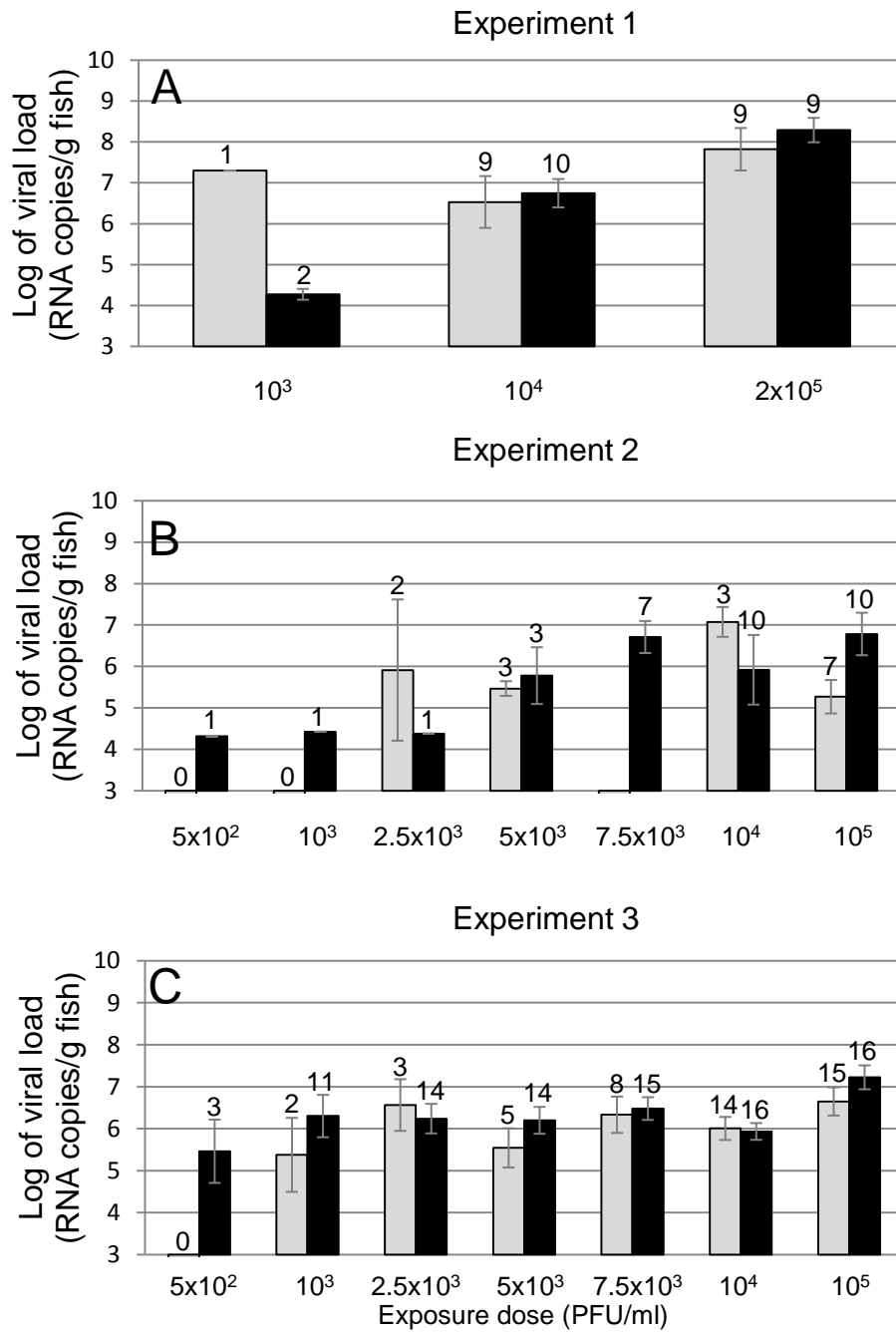


Figure 3

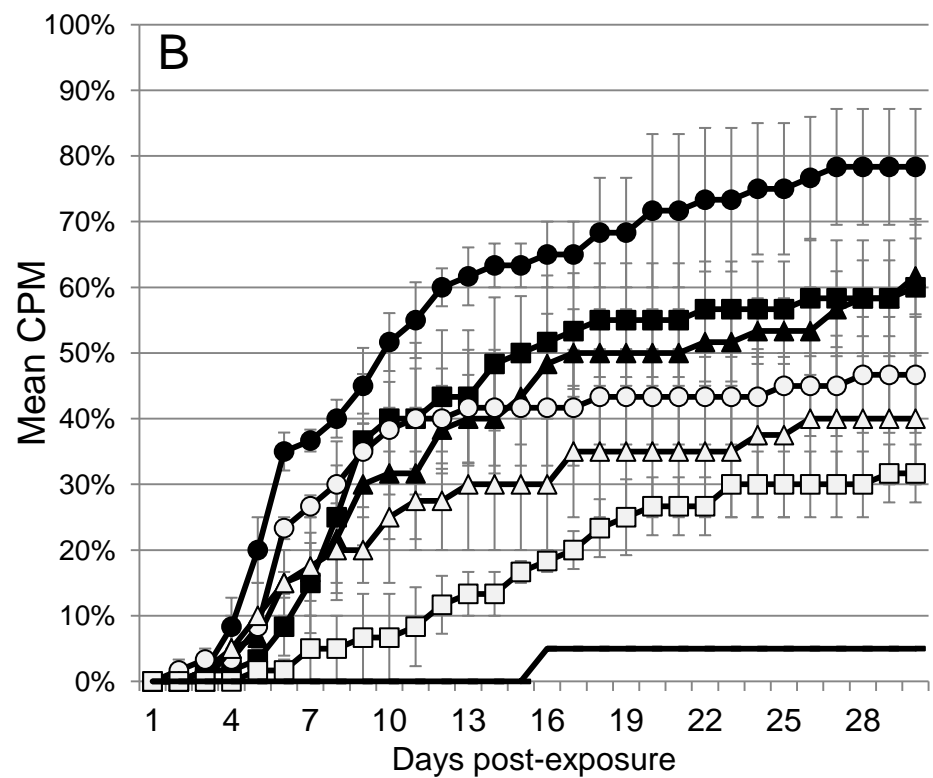
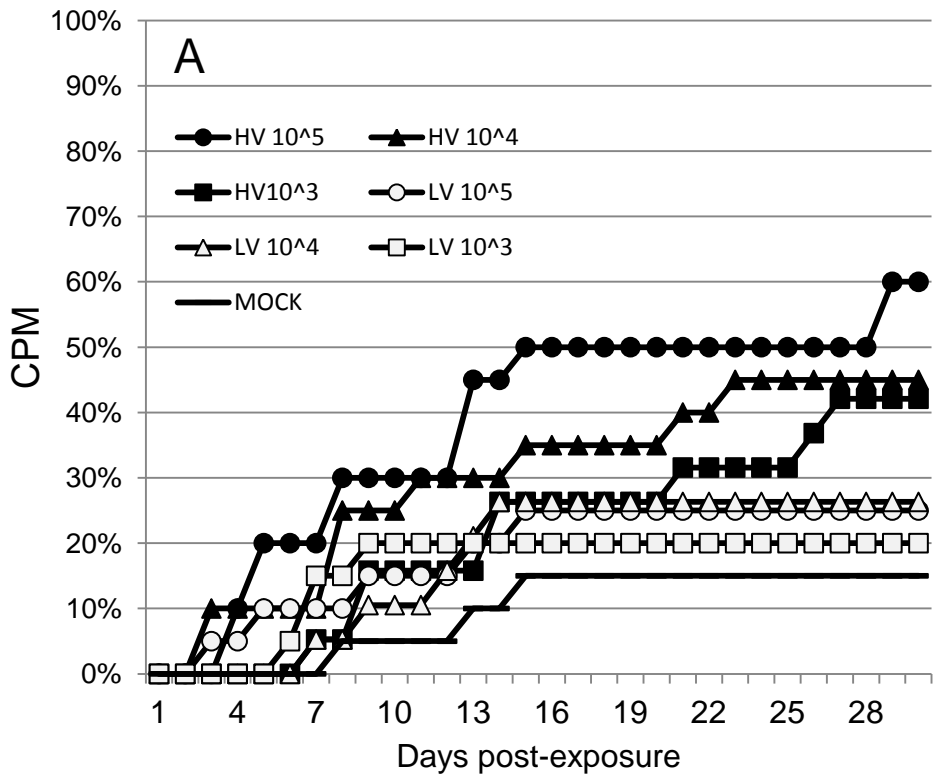


Figure 4

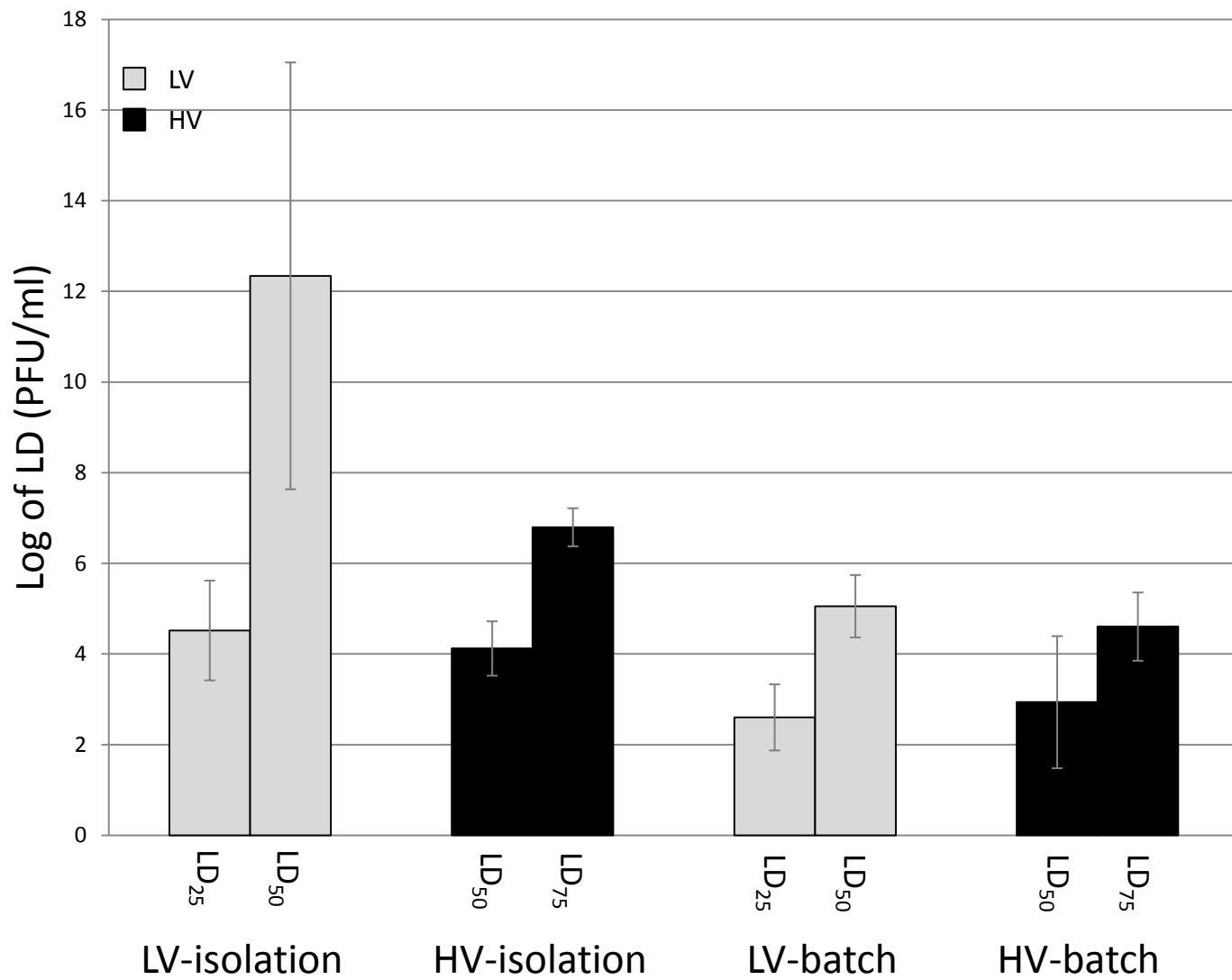


Figure 5

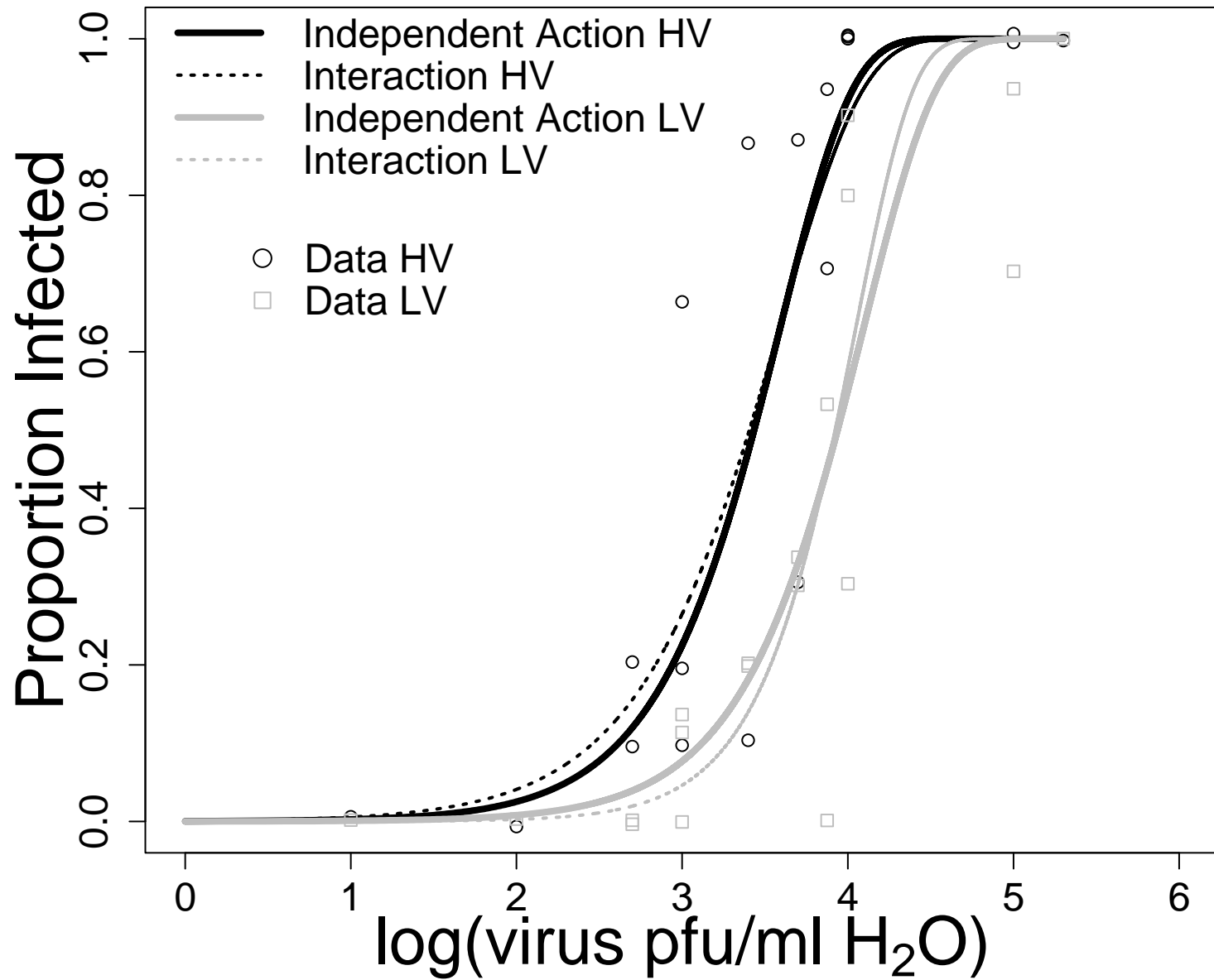


Figure 6



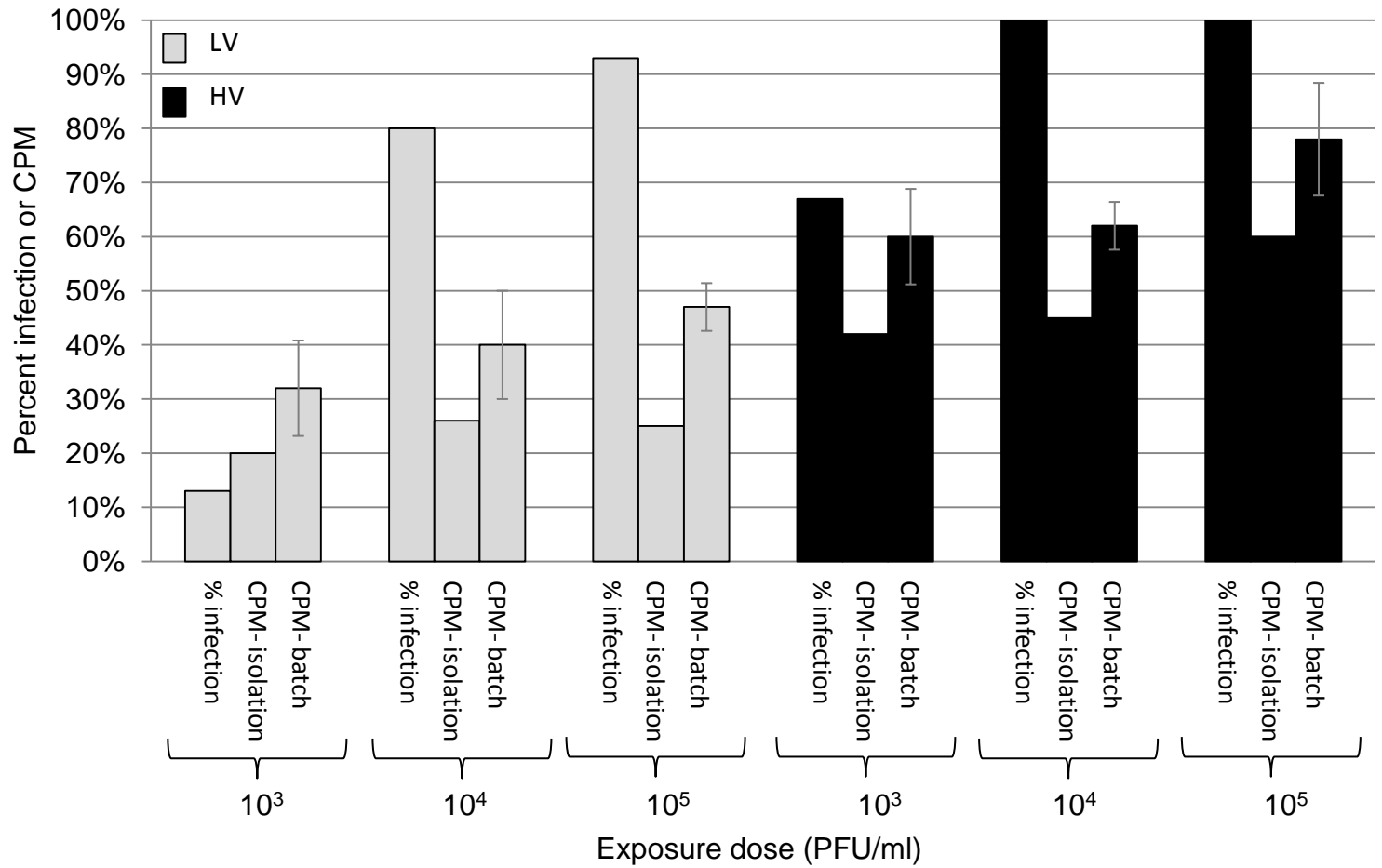


Figure 7

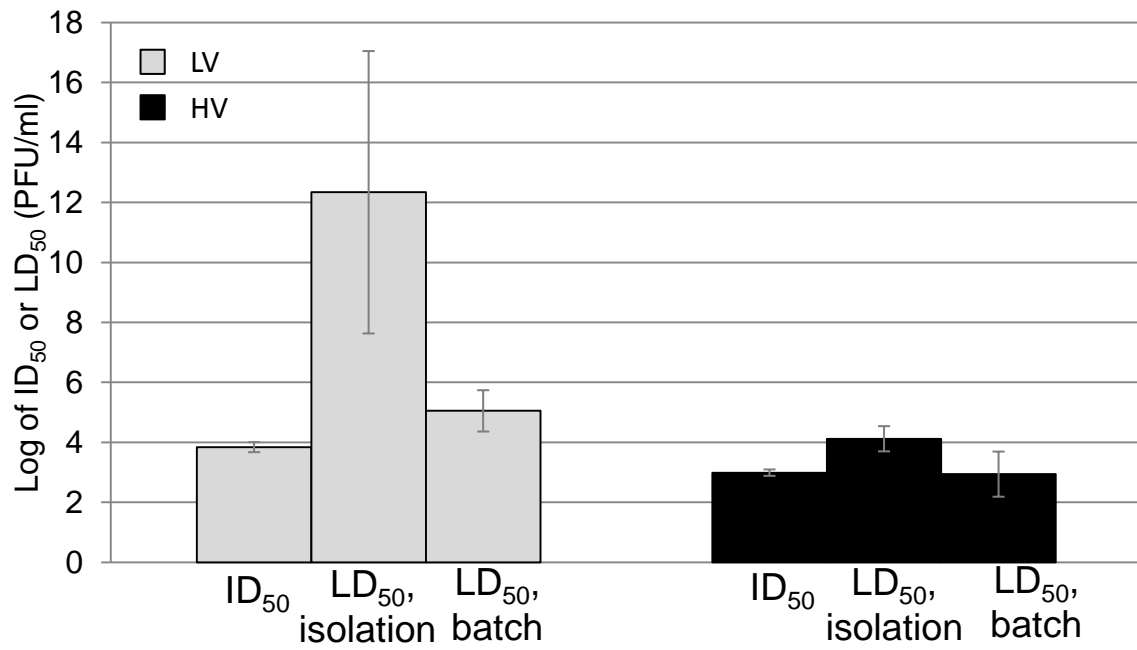


Figure 8

## Figure legends for paper

Figure 1: Percent infection data from infectious dose experiments. In all panels, LV is gray and HV is black. In A and B,  $n=10$ ; in C,  $n=15$ . Note that the  $x$ -axis dose values in A are different than those of B and C.

Figure 2: 50% infectious dose ( $ID_{50}$ ) values. The combined  $ID_{50}$  represents the mean of log base 10 transformed  $ID_{50}$  values from the first three experiments. The error bars show the 95% confidence interval. In each experiment, the difference between the LV  $ID_{50}$  and HV  $ID_{50}$  was statistically significant ( $p < 0.05$ ).

Figure 3: Viral load data from infectious dose experiments. In all panels, LV is gray and HV is black. In A and B,  $n=10$ ; in C,  $n=15$ . Data presented as mean of log base 10 transformed viral load ( $\pm 1$  standard error), at various exposure doses. Only virus-positive fish are included in the mean, the number of which is indicated by the values above the bars.

Figure 4: Mortality curves from the lethal dose experiments. A: Mortality from the LD-isolation experiment with fish in individual tanks. B: Mortality from the LD-batch experiment. For B, data points show the average mortality of three tanks of 20 fish for each dose and genotype, with the exception of the mock group, which had only a single tank, and the LV  $10^4$  PFU/ml dose, which had two tanks. Error bars show the standard error.

Figure 5: Comparison of  $LD_{25}$ ,  $LD_{50}$ , and  $LD_{75}$  values from both isolation and batch virulence experiments in units of log of PFU/ml. Values from LD-isolation are on the left; values from LD-batch are on the right. For LV, the two bars indicate the  $LD_{25}$  and  $LD_{50}$  values, and for HV the two bars indicate the  $LD_{50}$  and  $LD_{75}$  values. The error bars show the 95% confidence interval.

Figure 6: Comparisons of percent infected and percent mortality values at the three challenge doses used in the ID-3, LD-isolation and LD-batch experiments. Percent infected data is from experiment 3, which was performed on the same lot of fish as the

LD-isolation and LD-batch experiments. LV is on the left, in gray, and HV is on the right in black. The batch mortality values represent the mean of triplicate tanks (+/- 1 standard error).

Figure 7: Comparisons between ID<sub>50</sub> and LD<sub>50</sub> values for each strain. On the left in gray is LV, and on the right in black is HV. The ID<sub>50</sub> values shown are calculated from the third experiment, which was done on the same lot of fish as the LD<sub>50</sub> experiments. The error bars indicate the 95% confidence interval.

Figure 8: Test of Independent Action Hypothesis. Data points show relationship between challenge dose (x-axis) and proportion of fish infected (y-axis), for genotypes HV (black circles) and LV (grey squares). Thick solid line is the independent action model ( $f = 1 - e^{-b*d}$ ) fit to the data for HV (black) and LV (grey). Thin dotted line is the interaction model fit ( $f = 1 - e^{-b*d^k}$ ) to the data for HV (black) and LV (grey). Where  $f$  = proportion of fish infected from raw data, 1 = the maximum proportion of fish that can become infected,  $b$  = infection rate determined from model fit,  $d$  = challenge dose, and  $k$  = interaction term determined from model fit.  $k = 1$  indicates independent action,  $k < 1$  indicates antagonistic interaction, and  $k > 1$  indicates synergistic interaction. For the independent action model  $b = 2.55 \times 10^{-4} \pm 0.55 \times 10^{-4}$  and  $8.00 \times 10^{-5} \pm 1.57 \times 10^{-5}$  proportion fish infected/PFU virus, for HV and LV respectively (value  $\pm 1$  standard error). For the interaction model  $b = 7.61 \times 10^{-4} \pm 15.20 \times 10^{-4}$  and  $7.71 \times 10^{-6} \pm 35.47 \times 10^{-5}$  proportion fish infected/PFU virus; and  $k = 0.868 \pm 0.240$  and  $1.26 \pm 0.51$ , for HV and LV respectively (value  $\pm 1$  standard error). As such,  $k$  overlapped with 1 for both HV and LV, supporting independent action model. There was no significant difference in model fit between independent action and interaction models by anova (HV:  $F_{1,17}=0.28$ ,  $p=0.6$ ; LV:  $F_{1,17}=0.26$ ,  $p=0.6$ ), so null hypothesis of independent action could not be rejected. Data was fit to models using “nls” function in the R programming language.