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# Separating oil from water: suspension-feeding goldfish ingest

liquid vegetable oil

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1 Abstract: We show that goldfish (*Carassius auratus*) voluntarily ingest liquid canola oil at the surface of the water and can swallow significant quantities of oil. The ability of fish to separate 2 floating oil from water has not been tested previously, and the mechanisms used to retain oil in 3 4 the form of suspended droplets, globules, or a surface film are unknown. Chromatograms of fatty acid methyl esters (FAMEs) prepared from gut samples confirmed that goldfish were able to 5 6 obtain a substantial proportion of their daily lipid intake from canola oil at the surface of 7 laboratory aquaria. Quantification of goldfish suspension-feeding, processing, and spitting behavior suggested that upper jaw protrusion with a closed mouth during processing was 8 9 important for the handling of different food types, including oil. Crossflow filtration and the generation of vortices could be involved in oil retention by goldfish, as these processes are used 10 industrially to separate oil from water. These results have implications for the uptake of 11 hydrophobic pollutants and dietary lipids at the surface by suspension-feeding fishes. 12

## 13 Introduction

16

Suspension-feeding fishes with economic and ecological importance, including carp,
menhaden, and many tilapia, can filter particles as small as 5 microns from enormous volumes of

using mechanical dead-end sieving during which water is forced to travel perpendicularly

water (Beveridge et al. 1991; Friedland et al. 2006; Smith and Sanderson 2013). Rather than

through the filter, most suspension-feeding fishes that have been studied use crossflow filtration,

19 during which the water to be filtered is moved tangentially across filtering structures inside the

oral cavity (Sanderson et al. 2001; Callan and Sanderson 2003; Motta et al. 2010). Although

21 industrial crossflow filtration is a major technology for separating oils from wastewater

(Masoudnia et al. 2013; Tashvigh et al. 2015), the possibility that suspension-feeding fish may
be able to ingest lipids by separating liquid oil from water inside their oral cavities has not been

investigated. In addition, principles of vortical cross-step filtration (Sanderson et al. 2016) could
enable fish to generate vortices inside their oral cavities, potentially concentrating oil, surfactant-

coated air bubbles, and other positively buoyant materials with a density  $(g \cdot cm^{-3})$  less than that of water.

Goldfish (*Carassius auratus*, Cyprinidae) are omnivorous benthic feeders (Sibbing and Witte 2005) that also use crossflow filtration during facultative suspension feeding (Sanderson et al. 2001). In aquaria, goldfish often suspension feed at the surface on small neutral and low-density food particles (Burggren 1982). In manmade outdoor ponds, goldfish can use continuous suspension feeding at the surface, drawing the surface layer of water through their oral cavities and out past the opercula repeatedly (personal observation).

Based on our observations of this suspension-feeding behavior at the surface in goldfish and other fish species, we designed experiments to determine whether goldfish can use liquid oil at

36	the surface as a potential food source. The aquatic surface microlayer at the water-air interface, a
37	few microns to a millimeter thick, accumulates microorganisms and organic nutrients including
38	surfactants such as fatty acids and other lipids (Wotton and Preston 2005; Drudge and Warren
39	2014; Seliskar and Gallagher 2014). In lakes and ponds, the surface microlayer can become
40	enriched with bacteria, ciliates, flagellates, amoeba, and phytoplankton (Södergren 1979, 1993;
41	Parker and Hatcher 1974; Maki and Hermansson 1994), and has been shown to attract larvae of
42	insects such as blackflies and mosquitoes (Wotton 1982; Wotton et al. 1997). Surface
43	microlayers rich in organic nutrients have also been well studied in marine environments
44	(Cunliffe et al. 2013; Elliott et al. 2014; Zhou et al. 2014) and can be important habitats for larval
45	fish (Wurl and Obbard 2004).
46	Lipids are important in the diets of all animals, for use in the structure of cell membranes as
47	well as energy provision and storage (Leaver et al. 2008). Pozernick and Wiegand (1997)
48	reported that juvenile goldfish are capable of producing important polyunsaturated fatty acids
49	using fatty acid precursors from the canola oil in their pellet food. The main sources of fatty
50	acids in wild goldfish are likely to be from their natural diet of detritus, diatoms, and
51	zooplankton (Specziár et al. 1997; Specziár and Rezsu 2009).
52	In this study, we assess quantitatively whether untrained goldfish (1) feed voluntarily on
53	liquid oil at the surface of the water and (2) can ingest measurable amounts of liquid oil. We
54	performed fatty acid analysis on goldfish gut contents after feeding experiments using canola oil,
55	a component of commercial fish feeds (Tacon et al. 2011). Previous studies have developed
56	methodologies for using fatty acid analysis of gut contents and tissues to determine diets and
57	food webs for marine and freshwater organisms (Carreón-Palau et al. 2013; Couturier et al.
58	2013 <i>a</i> ). We also conducted feeding experiments with a combination of liquid oil and Tetramin <sup>™</sup>

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flakes to test whether the introduction of a familiar food at the surface would lead to higher oil
consumption. After establishing that the goldfish were ingesting canola oil, we defined and
quantified three feeding behaviors (surface feeding, spitting, and processing), to determine
whether the occurrence of these behaviors was correlated with food type (oil and/or Tetramin<sup>TM</sup>)
and with oil consumption.

64

## 65 Materials and methods

66

#### 67 Feeding experiments

Juvenile comet goldfish (5.2 - 7.3 cm standard length, SL; approximately 9 g body weight), a68 conventional pond variety, were obtained through the aquarium trade and maintained in the 69 laboratory in a 284 L aquarium at 24 °C. The fish were cared for in accordance with the Guide 70 for the Care and Use of Laboratory Animals (National Academies Press, 2011), and the research 71 protocol was approved by the Institutional Animal Care and Use Committee of the College of 72 William & Mary (IACUC-2015-02-03-10023-slsand). Goldfish were fed daily with Tetramin<sup>™</sup> 73 flakes (1–10 mm diameter) that were introduced at the water surface, but the fish were not 74 75 exposed to canola oil prior to the experiments. For all experiments, goldfish were transferred individually into 38 L aquaria equipped with a 76 bubble-up filter (Second Nature Whisper Size 2). Each fish was allowed to acclimate for 3–5 d, 77 during which the fish was fed twice daily at the surface on finely ground Tetramin<sup>™</sup> flakes (0.1– 78

0.5 mm diameter). For 36 h prior to the experiment, fish were not fed and plastic grating (1.5 cm

x 1.5 cm x 1.0 cm) was inserted on the bottom of the aquarium to reduce feeding on sunken food

81 particles or feces. The bottom of the aquarium was cleaned by siphoning twice each day.

82

#### 83 Canola oil feeding experiments

In the oil treatment (n = 10 fish), 2.0 mL of liquid canola oil (Crisco<sup>®</sup>) was added with a 5 84 mL syringe as evenly as feasible on the water surface, and the oil was spread with a spatula. The 85 bubble-up filter was then turned off, the aquarium lid was put back into place, and the 86 experimenters stepped away from the aquarium. The fish was allowed to feed on the canola oil 87 for 20.0 min, timed from the first feeding. During this period, the time spent feeding at the 88 surface was recorded using a stopwatch and the fish was videotaped at 30 fps on MiniDV 89 90 cassettes using a Sony Handycam (DCR-HC36) for subsequent behavioral analyses. After 20.0 min, the fish was caught in a hand net that was pulled through the surface layer of oil. 91 In the control for the oil treatment (n = 10 fish), the bubble-up filter was turned off and 92 removed from the aquarium before oil was added. This provided space for additional pieces of 93 plastic grating (described above) that were used to sequester the fish away from the surface. The 94 grating was inserted from the top of the aquarium at an angle such that one edge rested along the 95 bottom length of the aquarium and the opposite edge of the grating rested against the aquarium 96 glass directly beneath the surface. The angled grating allowed water to move freely in the 97 aquarium. Approximately one-half of the aquarium volume was accessible to the fish swimming 98 beneath the grating, but the fish could not reach the surface. After the grating was in place, 2.0 99 mL of canola oil was added and spread by the method described above. As these control fish did 100 101 not have access to the surface and did not exhibit feeding behavior, they were not videotaped and 20.0 min were allowed to pass after the grating was added. The grating was then removed and 102 103 the fish was caught in a hand net that was pulled through the surface layer of oil. Thus, this

control for the oil treatment enabled quantification of potential contamination in gut contents
from goldfish that had been exposed to surface oil but had been unable to feed on the oil.

107 Canola oil + Tetramin<sup>TM</sup> feeding experiments

In the canola oil + Tetramin<sup>TM</sup> treatment (n = 5 fish), 0.3 mL of canola oil from the same 108 container of oil used in the above experiments was added with a 1 mL syringe and was spread by 109 spatula, and the bubble-up filter was turned off. Next, 15.0 mg of finely ground Tetramin<sup>™</sup> 110 flakes (0.1–0.5 mm diameter), measured on a Fisher Scientific XA-100 analytical balance, was 111 112 sprinkled directly from the weighing pan evenly across the water surface. The aquarium lid was put back into place and the experimenters stepped away from the aquarium. The fish was 113 allowed to feed on the canola oil and Tetramin<sup>™</sup> for 20.0 min, timed from the first feeding. 114 During this period, the time spent feeding at the surface was recorded using a stopwatch and the 115 fish was videotaped at 30 fps on MiniDV cassettes using a Sony Handycam (DCR-HC36) for 116 behavioral analysis. After 20.0 min, the fish was caught in a hand net that was pulled through the 117 surface layer of oil and Tetramin<sup>™</sup>. 118

In the control for the oil + Tetramin<sup>TM</sup> treatment (n = 5 fish), the filter was turned off first, and 119 then 15.0 mg of Tetramin<sup>™</sup> was sprinkled evenly across the surface. The filter was turned off 120 before Tetramin<sup>TM</sup> was added because the action created by the air bubbles rising to the surface 121 caused the flakes to sink. Canola oil was not added to the aquarium and the fish were allowed 122 123 free access to the surface. The aquarium lid was put back into place and the experimenters stepped away from the aquarium. The fish was allowed to feed on the Tetramin<sup>™</sup> for 20.0 min, 124 timed from the first feeding. During this period, the time spent feeding at the surface was 125 126 recorded using a stopwatch and the fish was videotaped at 30 fps on MiniDV cassettes using a

127 Sony Handycam (DCR-HC36) for behavioral analyses. After 20.0 min, the fish was caught in a

hand net that was pulled through the surface layer of Tetramin<sup>TM</sup>.

129

#### 130 Preparation of gut samples and lipid extraction

After removal from the aquarium using a hand net, goldfish were transferred into a paper 131 towel to absorb any oil from the body surface. Fish were euthanized immediately using cervical 132 transection followed by pithing, while being held lightly to avoid redistributing the gut contents. 133 Fish were then blotted with paper towel before dissection to avoid transfer of any residual 134 135 surface oil into the body cavity. While still connected, the anterior portion of the gut was straightened and laid flaccidly across the exposed body cavity. The first 2.5 cm of the gut 136 immediately posterior to the esophageal sphincter was measured, forceps were clamped at each 137 138 end of this section, and the section was removed using microdissection scissors. This gut segment was transferred directly into a 1.5 mL centrifuge tube. The total length, fork length, and 139 standard length of each fish were recorded. 140

The gut segment was then cut longitudinally using microdissection scissors while held with 141 forceps inside the centrifuge tube, to transform the gut to an open sheet with contents exposed. 142 The scissors and forceps were rinsed with 750 µL of heptane (Fisher Scientific, 99.7%) into the 143 centrifuge tube using a Pipetman micropipette. The sample was then vortexed for 30 s with a 144 Fisher Scientific Vortex Genie 2. The empty gut wall was removed from the centrifuge tube and 145 146 the forceps used were rinsed into the tube with 250  $\mu$ L of heptane. This 1.0 mL sample was centrifuged at 5000 rpm for 5 min with a Fisher Scientific Micro 7 microcentrifuge. A 500 µL 147 subsample was micropipetted from the surface of this gut sample and transferred directly into a 148 149 15 mL centrifuge tube.

150

## Fatty Acid Methyl Ester (FAME) preparation 151 Fatty acid methyl ester (FAME) preparation was carried out using the protocol described by 152 Zhang et al. (2014). 1.0 mL each of diethyl ether, petroleum ether, and 0.4 M KOH in methanol 153 were added to 500 µL of the gut subsample in that order. This mixture was vortexed for 30 s and 154 left at room temperature (21 °C) for 2.5 h. 2.0 mL of deionized water was added and the mixture 155 was centrifuged at 3400 rpm for 2 min with a Fisher Scientific Centrific Model 228. 156 A 100 µL subsample was micropipetted from the top (organic) layer of this mixture and added 157 to 400 µL of diethyl ether in a 1.5 mL glass sample vial (Thermo Scientific). When these 158 FAMEs were stored at -5 °C, the meniscus was noted on the sample vial so that evaporation 159 could be detected. If diethyl ether evaporation occurred before analysis, diethyl ether was 160 161 replaced one drop at a time using a Pasteur pipette until the volume was reestablished at the meniscus. 162 163 Gas chromatography-mass spectrometry (GC-MS) analysis 164

FAME samples in diethyl ether were injected into an Agilent 6890N gas chromatograph interfaced to an Agilent 5973 mass spectrometer detector (MSD). A fused silica Rxi-1ms nonpolar column was used (30 m, 25 mm ID, 0.25  $\mu$ m film, Restek). The column flow rate was 1.1 mL·min<sup>-1</sup> and helium was used as the carrier gas. The inlet temperature was 280 °C with a split injection set at 100:1. The initial oven temperature was 150 °C, which was increased at a rate of 5 °C·min<sup>-1</sup> until the final temperature of 260 °C was reached. The total run time was 22 min. 172 Identification of methylated fatty acids from the gut extracts was verified using a NIST mass spectral library which compares mass fragmentation and ion intensity patterns of known 173 compounds within the database to mass spectra from unknown samples. The methylated fatty 174 acids were consistently identified with 95-99% confidence in all cases when a sufficient quantity 175 of compound was detected from the extracts. As the first step in calculating the mass of canola 176 oil in the 2.5 cm sections of gut from the feeding experiments, we quantified the area of the oleic 177 acid (18:1*n*-9) peak of each FAME injection sample, which had a retention time of 13.0 min as 178 determined from preparation of FAMEs using known concentrations of canola oil. Oleic acid is 179 180 the major fatty acid component of canola oil (approximately 63% by mass; Syed 2012), which when converted into a methyl ester becomes methyl oleate. A known standard of methyl oleate 181 (99%, Aldrich) was diluted to a concentration of 1 mg·mL<sup>-1</sup> in heptane by dissolving 100 mg 182 183 into 10 mL of heptane (Fisher Scientific, 99.7%) and then dissolving a 1 mL subsample into another 10 mL of heptane. The methyl oleate standard was analyzed each day of experiments 184 using the same GC-MS procedure as above, and the area of this standard peak was compared to 185 the area of the 18:1n-9 peak from each FAME injection sample that was analyzed with the GC-186 MS on that day. Peak areas were quantified using the AutoIntegrate function of MSD 187 ChemStation software (Agilent Technologies) or a Manual Integration function for peaks with 188 low signals to define the base width of the 18:1*n*-9 peak. The areas of the 18:1*n*-9 peak from the 189 FAME injection samples were compared with the known concentration of the methyl oleate 190 191 standard to determine the solution concentration. The fatty acid composition of canola oil, based on 63% oleic acid composition (Syed 2012), and the dilution factors used to prepare the gut 192 sample were then used to calculate the mass of canola oil in the original 2.5 cm gut segment. 193 194

#### 195 Calculations of mass of oil ingested

Equation (1) below uses the ratio of the known concentration in  $mg \cdot mL^{-1}$  of the standard 196 methyl oleate solution to the peak area of the standard in order to calculate the concentration of 197 oleic acid in the FAME sample that had been injected into the GC-MS, where A = area of 18:1n-198 9 peak, C = concentration of 18:1n-9, s = methyl oleate standard, and f = FAME sample. This 199 calculation is shown simplified in equation (3), which is possible since the concentration of the 200 standard was known to be  $1 \text{ mg} \cdot \text{mL}^{-1}$  (equation (2)). Equation (4) shows the calculations 201 necessary to convert the concentration of oleic acid in the FAME sample to the mass of canola 202 oil in the 2.5 cm gut segment. The FAME sample concentration is multiplied by 2.5 mL, the 203 volume of the organic layer (including ethers and heptane) at the end of the initial FAME 204 preparation process. This value is then divided by 0.63 since canola oil is only 63% oleic acid 205 (Sved 2012). The FAME sample concentration in Equation 4 is also divided by 0.2 to account for 206 the 100  $\mu$ L sample dilution to 500  $\mu$ L with diethyl ether during FAME preparation and by 0.5 to 207 account for only one half of the original heptane gut extract being used for the FAME. By 208 209 substituting equation (3) into equation (4), all of the above steps were calculated at once as shown in equation (5) to obtain the mg canola oil in the 2.5 cm gut sample. 210

- **211** (1)  $\frac{A_s}{C_s} = \frac{A_f}{C_f}$
- 212 (2)  $C_{\rm S} = \frac{1 \, \rm mg}{\rm mL}$
- 213 (3)  $C_f = \left(\frac{A_f}{A_s}\right) \left(\frac{1 \text{ mg}}{\text{mL}}\right)$
- 214 (4)  $\frac{C_{f} (2.5 \text{ mL})}{(0.63) (0.5) (0.2)}$  = mass of canola oil in 2.5 cm gut segment (mg)
- 215 (5)  $\frac{\left(\frac{A_{f}}{A_{s}}\right)\left(\frac{1 \text{ mg}}{\text{mL}}\right)(2.5 \text{ mL})}{(0.63)(0.5)(0.2)}$  = mass of canola oil in 2.5 cm gut segment (mg)
- 216

#### 217 Behavioral analyses

The videos taken during feeding experiments were viewed frame-by-frame on a Sony DVCam (DSR-11) using a remote control with a jog/shuttle (DSRM-20). Videos were analyzed for the presence of three main behaviors, which were defined after preliminary review of multiple videos: feeding bouts, spitting bouts, and processing bouts. Occurrences of each type of bout were counted for the duration of the 20 min experiments.

223

#### 224 Statistical analysis

Analyses were performed with the statistical software R (v.3.2.1), using tests appropriate for 225 small sample sizes with high variance within treatments and non-normal distributions. For the 226 comparison of mass of oil in the gut segment, a non-parametric permutation test was chosen 227 because the data lacked a normal distribution and the treatment and control groups had different 228 variances (Whitlock and Schluter 2015). Using the R package "coin" (Hothorn et al. 2008), two-229 sample Fisher Pitman permutation tests were used to compute an exact p-value for the mass of 230 oil ingested during each of the two feeding experiments. In addition, a Pearson's product-231 moment correlation was done to determine if a relationship existed between the time spent 232 feeding at the surface and the mass of oil in the gut segments from fish in the canola oil 233 treatment. 234

The first five fish of the canola oil feeding experiments were not videotaped. Therefore, feeding time data and behavioral counts were not recorded for these first fish and they were not included in the behavioral analyses. A regression analysis showed that the feeding time data and the feeding bout behavioral counts were highly correlated ( $r^2 = 0.85$ ). Therefore, feeding time data were excluded from the MANOVA described below. 240 Due to the large differences in variances and the non-normal shape of the data distribution, the behavioral data were transformed using a log transformation (Y' = ln(Y)). A series of F-tests was 241 then performed to compare the variances of the counts for the different feeding behaviors, which 242 gave non-significant results for all pairs, indicating that the transformed datasets did not have 243 significant differences in variance. A MANOVA was performed on the transformed behavioral 244 data with food type (canola oil only, canola oil + Tetramin<sup>™</sup>, Tetramin<sup>™</sup> only) as the 245 independent variable and type of behavior (feeding bouts, spitting bouts, processing bouts) as the 246 dependent variable. This was followed by univariate post-hoc ANOVAs with Bonferroni 247 248 adjustments for repeated tests. A separate one-way ANOVA was also performed on data for feeding time and was followed by post-hoc Tukey-Kramer tests. 249

250

#### 251 Experienced goldfish feeding on oil

Following completion of all experiments, seven juvenile goldfish (approximately 7.0 - 7.5 cm 252 SL) that had not been introduced previously to canola oil were maintained in a 284 L aquarium. 253 Using a polyethylene cannula (1.14 mm I.D., 1.57 mm O.D., Intramedic PE-160) on a 5 mL 254 syringe that was held manually in one corner of the aquarium, the experimenter released a total 255 of 1 mL of canola oil into the aquarium over a period of approximately 15 min. Oil was released 256 from the cannula either above the water surface or approximately 1 cm beneath the surface. This 257 procedure was followed once each day for 4-5 d each week. Goldfish were fed their typical diet 258 of Tetramin<sup>™</sup> after each oil-feeding session as well as on days when oil was not fed to the fish. 259

260

261 **Results** 

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#### 263 Mass of canola oil in the gut

While there was high variability among fish, canola oil was present in the guts of the majority 264 of the canola oil treatment fish and in two of five fish from the canola oil + Tetramin<sup>™</sup> treatment 265 (Table 1). Overall, nine of 15 fish that fed at the surface in the presence of oil had detectable oil 266 in their guts. In contrast, none of the 15 control fish samples showed a peak at the 18:1*n*-9 267 retention time, indicating that the oil in the experimental samples resulted from ingestion during 268 feeding in the presence of oil and that contamination of gut samples with oil did not occur. The 269 guts of the fish in the canola oil treatment group had a significantly higher mass of oil than the 270 271 guts in the control group, which contained no detectable oil (two-sample Fisher Pitman permutation test, p = 0.005,  $n_i = 10$ ). The mass of oil in the guts of the canola oil + Tetramin<sup>TM</sup> 272 group was not significantly different than the zero mass of oil in the control group (p = 0.22,  $n_i =$ 273 5). No correlation was found between the time spent feeding at the surface and the mass of oil in 274 the gut segments from fish in the canola oil treatment (Pearson's product-moment correlation,  $r^2$ 275 = 0.24, p = 0.19, n = 10). 276

277

#### 278 **Detection limit**

One of the FAME samples analyzed from the canola oil treatment had a detectable peak at the expected retention time for 18:1n-9, but the peak area was too small to be identified or quantified by the GC-MS software. This sample was reanalyzed at 167% of the original concentration by dissolving the 100 µL FAME subsample in half the volume of diethyl ether (200 µL was added instead of 400µL) before GC-MS analysis. This provided a quantifiable peak that could be identified as 18:1n-9, resulting in a calculation of 0.3 mg of canola oil in the 2.5 cm gut segment. All calculations for mass of oil in the 2.5 cm gut segment were rounded to the nearest milligram. Therefore, the value of 0.3 mg was rounded to zero, as indicated by an asterisk in Table 1. No other mass chromatograms had a peak that was not identifiable by the GC-MS software, so the above procedure was not performed on other samples.

The detection limit, when a peak that is detectable above background noise at the expected 289 retention time for 18:1*n*-9 is so low that it cannot be identified as 18:1*n*-9 by the GC-MS 290 software, was determined to occur between 0.3 and 0.6 mg of canola oil in the 2.5 cm gut 291 segment. This was established by performing a serial dilution with five known volumes of canola 292 oil (0.16 µL, 0.31 µL, 0.63µL, 1.25µL, 2.5 µL) dissolved in 500 µL of heptane and then treated 293 with the same FAME preparation process and data analysis procedure as the experimental 294 samples. The known volumes of canola oil were converted from  $\mu$ L to mg using 0.92 g·mL<sup>-1</sup> as 295 the density of canola oil (Rousseau 2004). All of the FAMEs from this serial dilution resulted in 296 297 a detectable peak at the expected retention time, but the peak at the lowest oil volume of 0.16  $\mu$ L could not be identified by the mass spectral compound identification software. This indicates that 298 the GC-MS would have detected a peak for 18:1*n*-9 in the FAME sample prepared from any 2.5 299 cm gut segment that contained  $\ge 0.3$  mg of canola oil (equivalent to 0.16 µL of oil in the 500 µL 300 gut subsample). 301

302

#### 303 Behavioral analyses

Three main behaviors associated with feeding were defined: feeding bouts, spitting bouts, and processing bouts (Table 2). Because a series of repeated motions usually composed a bout, we counted bouts rather than singular motions. The bouts tended to follow a sequence, beginning with a feeding bout and followed by a spitting bout, a processing bout, neither, or both. Occasionally a fish performed two bouts of the same behavior in a sequence, but these never occurred consecutively, with the exception of feeding bouts. For example, a spitting bout would be followed by a processing bout or a feeding bout before another spitting bout took place, but afeeding bout could be followed immediately by another feeding bout.

The number of bouts was counted for each 20-min video (Table 3), and a MANOVA was 312 performed to determine whether counts of each type of behavior (feeding bouts, spitting bouts, 313 processing bouts) differed significantly among food types (canola oil only, canola oil + 314 Tetramin<sup>TM</sup>, Tetramin<sup>TM</sup> only). The MANOVA gave results as follows – Pillai-Bartlett: p = 0.09, 315 Roy: p = 0.006, Hotelling-Lawley: p = 0.03, Wilks: p = 0.05. The Pillai test is considered to be 316 the most conservative and robust, with the Roy giving a lower bound of the *p*-value (Ouinn and 317 318 Keough 2002). The post-hoc ANOVAS showed feeding bouts as the only dependent variable to have significant differences between independent variable groups (p = 0.04), indicating that the 319 number of feeding bouts differed significantly among food types: canola oil only, canola oil + 320 Tetramin<sup>™</sup>, and Tetramin<sup>™</sup> only. Because of the high correlation between feeding time and 321 number of feeding bouts, feeding time was not included in the MANOVA. A separate one-way 322 ANOVA was performed on the feeding time data that also gave a significant result (p < 0.001) 323 and post-hoc Tukey-Kramer tests revealed significant differences between all treatment groups. 324 Although by definition the number of feeding bouts affects the number of spitting and 325 processing bouts, the number of spitting bouts and the number of processing bouts did not differ 326 significantly among food types (post-hoc ANOVAs, p = 1.00). This suggests a relationship not 327 visible in the previous MANOVA. Therefore, a one-way ANOVA was performed on the ratio of 328 processing bouts to feeding bouts, following a reciprocal transformation (Y' = 1/Y). The ratio of 329 processing bouts to feeding bouts was significantly different among food types (p = 0.002). 330 Tukey-Kramer tests showed significant differences between the canola treatment and the canola 331 332 + Tetramin<sup>TM</sup> treatment (p = 0.001) and the Tetramin<sup>TM</sup> treatment and the canola + Tetramin<sup>TM</sup>

treatment (p = 0.02), but not between the canola treatment and the Tetramin<sup>TM</sup> treatment (p = 0.29) (Figure 1). The ratio of processing bouts to feeding bouts in the canola oil + Tetramin<sup>TM</sup> treatment was significantly lower than this ratio in the treatments that used only one food type. When a reciprocal transformation and one-way ANOVA were applied to the ratio of spitting bouts to feeding bouts, there was no significant difference among food types (p = 0.06).

338

## 339 Experienced goldfish feeding on oil

Naive goldfish that had not been exposed previously to canola oil exhibited feeding bouts at 340 the surface throughout the aquarium when canola oil was released from the cannula tip. In 341 342 addition, one goldfish swam repeatedly to the underwater cannula tip and engulfed the globule of oil that was being extruded from the tip. Within two weeks after the first oil-feeding session, 343 multiple goldfish exhibited feeding bouts directly beneath the cannula that was held just above 344 the water surface as oil was released in drops from the tip. Goldfish also learned to engulf 345 globules in a film of oil on the water surface that had been released from the cannula tip as the 346 tip was being removed from the water (Video S1). 347 In manmade outdoor ponds, goldfish that had been introduced sporadically to liquid oil 348

engulfed a thin layer of canola oil and interspersed oil globules at the surface, using continuous
suspension feeding (personal observation, Video S2).

351

352

## 353 **Discussion**

354

#### 355 Liquid oil ingestion by goldfish

Untrained naive goldfish fed voluntarily on liquid canola oil at the surface of the water and 356 were able to retain and swallow liquid oil. All ten goldfish that had access to the surface during 357 358 the canola oil feeding experiments exhibited feeding behavior, and 70% of these fish had detectable quantities of canola oil in the anterior 2.5 cm of their gut (Table 1). These fish 359 ingested between 0.01% and 14% of the 2.0 mL of oil present during the 20-min experiment. In 360 the canola oil + Tetramin<sup>™</sup> feeding experiment, all five goldfish exhibited feeding behavior at 361 the surface and 40% of these fish ingested oil. The anterior 2.5 cm of the gut in these two fish 362 contained 11% and 32% of the 0.3 mL of oil present during the 20-min experiment. The gut oil 363 content quantified in these experiments is likely to have been underestimated because only the 364 anterior 2.5 cm of the gut was sampled. Oil was observed visually in some fish guts posterior to 365 the location where the gut segment was removed. 366

None of the fifteen control fish in the two experiments had GC-MS chromatogram peaks at 367 the expected retention time for 18:1*n*-9, suggesting that contamination with oil did not lead to 368 369 false positive results in the other treatment groups. The high variability of gut oil content among fish could be due to small differences in fish personality (Mesquita et al. 2015; Pleizier et al. 370 2015), preference, or ability that led to differences in performance during the experiments. 371 Substantial inter- and intra-individual variability in oral flow speed, mucus production, and 372 particle retention in suspension-feeding fishes has been quantified by previous studies (Smith 373 and Sanderson 2008, 2013; Holley et al. 2015). 374

375 While some goldfish swallowed a relatively large amount of oil, this alone does not indicate whether oil ingestion was purposeful or incidental. However, despite the fact that all of the fish 376 with access to oil at the surface were observed to feed at the surface during the experiments. 377 some did not have a detectable level of oil in the gut. If ingestion had been incidental, we would 378 expect a more consistent pattern of oil ingestion correlated with time spent feeding or the number 379 of feeding bouts. This pattern would be expected particularly in the canola + Tetramin<sup>™</sup> 380 treatment group, where fish in the presence of oil were actively ingesting Tetramin<sup>™</sup> particles 381 from the surface that were later visible in the gut during dissection. Three of the five fish in this 382 group did not ingest oil despite ingesting Tetramin<sup>TM</sup>, suggesting that the other two fish may 383 have ingested oil using an unknown selection mechanism rather than incidental ingestion. 384

385

#### 386 Potential mechanisms of oil ingestion

The ability of fish to separate oil from water has not been tested previously, and potential 387 mechanisms that fish could use to separate oil from water have not been investigated. In our 388 experiments, goldfish were observed to feed directly on the film of canola oil with larger 389 interspersed oil globules that floated on the water surface, although smaller oil droplets and oil-390 coated air bubbles in suspension near the water surface may also have been available for 391 ingestion. Many suspension-feeding fish species, including goldfish, use crossflow filtration to 392 retain and swallow particles within the potential size range of suspended oil droplets to larger oil 393 394 globules (approximately  $30 \,\mu\text{m} - 5 \,\text{mm}$ ; Sanderson et al. 2001; Smith and Sanderson 2013). During crossflow filtration, the gill rakers do not serve as dead-end mechanical sieves, and 395 particles can be retained without contacting filtering elements. Particles are carried by flow 396 397 patterns through the oral cavity to the esophagus (Sanderson et al. 2001; Sanderson et al. 2016).

A similar mechanism could enable goldfish to retain and subsequently swallow oil droplets, larger oil globules, and/or surface films. This process could involve emulsion of the oil with the water inside the oral cavity, caused by the repetitive lower jaw movements that also allow water and air to mix during aquatic surface respiration (Burggren 1982), resulting in intraoral oil droplets or oil-coated air bubbles with the properties of a low-density particle rather than a surface film.

During hypoxia and anoxia, goldfish and some other fish species have the capability of "air 404 gulping" or aquatic surface respiration (ASR), which is distinct from the well-studied air 405 breathing in certain species (Burggren 1982; Chapman and McKenzie 2009; He et al. 2015). 406 During ASR, goldfish protrude the upper jaw above the surface to engulf an air bubble and the 407 underlying water at the air-water interface. From this position, goldfish repeatedly depress and 408 409 raise the lower jaw, mixing the air and water within the oral cavity. This mixture is then passed between the gill filaments to exit posteriorly from the opercula, resulting in a significant 410 elevation of blood oxygen content under hypoxic conditions compared to goldfish not using ASR 411 (Burggren 1982). Engulfment of air and water during feeding at the surface is similar to the 412 initial step in ASR (Burggren 1982), suggesting a possible connection between the adaptation of 413 goldfish for ASR during hypoxia and the ability to modify that behavior for suspension feeding 414 at the surface. 415

Particle selection in goldfish is aided by action of the palatal organ, a ridged, protrusible,
highly chemosensory pad of tissue on the roof of the anterior pharynx. Muscular projections of
the palatal organ in cyprinids can pin larger solid food particles against the floor of the oral
cavity while inorganic material is expelled by spitting (Sibbing et al. 1986; Callan and Sanderson
2003; Finger 2008). The palatal organ could also assist in differentiating between oil and

Tetramin<sup>™</sup>, which could explain how some goldfish were able to ingest Tetramin<sup>™</sup> without
ingesting oil, discussed further below.

An alternative mechanism for separating oil from water is that by protruding the upper jaw 423 above the surface during a feeding bout, goldfish might engulf the entire surface layer and pump 424 this layer posteriorly along the palatal organ towards the esophagus as a continuous thin film. 425 This oil ingestion mechanism might be possible due to the goldfish's angled body position 426 relative to the water-air interface during surface feeding, which could place regions of the palatal 427 organ and the esophagus level with the surface of the water. Engulfment and intra-oral transport 428 of an intact surface film could involve a more passive consumption of oil than the creation of 429 intra-oral oil emulsions. In this case, ingestion of oil might actually be reduced by repetitive 430 lower jaw movements during feeding that disrupt the floating film of oil inside the oral cavity. If 431 the number of repetitive jaw movements within each feeding bout differed among individuals, 432 this could explain how some fish swallowed substantially larger masses of oil. However, we did 433 not quantify the number of repetitive jaw movements within each feeding bout. 434

435

#### 436 Behavioral analyses

The significant relationship between food type and the ratio of processing bouts to feeding
bouts (Figure 1) indicates that processing could be important for handling different food types.
The canola + Tetramin<sup>™</sup> group had the lowest ratio of processing to feeding, even lower than
Tetramin<sup>™</sup> alone. Processing has been described previously in the closely related common carp
(*Cyprinus carpio*) as a mechanism for sorting and repositioning food in the oral cavity before
swallowing (Sibbing et al. 1986). Handling multiple food types simultaneously would seem to
require more processing, yet the goldfish in the canola + Tetramin<sup>™</sup> group had the lowest ratio

of processing bouts to feeding bouts of all treatment groups, and two of these five fish stillswallowed oil.

If the canola oil group exhibited relatively more processing bouts, this would suggest that oil 446 required processing before swallowing, but there was no significant difference between the oil 447 treatment and the Tetramin<sup>™</sup> treatment (Figure 1). One explanation could be that increased 448 spitting in the canola + Tetramin<sup>™</sup> treatment prevented fish from swallowing oil, but the ratio of 449 spitting to feeding was not significantly different among food types. Fish may have been able to 450 avoid the larger floating globules of oil visually, but in the canola + Tetramin<sup>TM</sup> experimental 451 452 setup, Tetramin was added on the top of the oil layer, so complete avoidance of oil globules seems unlikely. 453

Processing bouts were characterized by repetitive partial upper jaw protrusion with a closed 454 mouth (Table 2). A similar closed mouth processing ("closed protrusion") was described as 455 essential for food handling in experiments conducted by Sibbing et al. (1986) with the common 456 carp, occurring infrequently throughout feeding but more often as food became "less manageable" 457 or more soiled." During suspension feeding by carp on small zooplankton, intraoral particle 458 selection was controlled by palatal organ activity and closed protrusion, which also served to 459 gather particles that had been retained for transport to the pharynx (Sibbing et al. 1986). 460 The upper jaw protrusion with a closed mouth that we observed in goldfish during processing 461 bouts is unique to cypriniforms due to the evolution of an elongated kinethmoid and modified 462 463 adductor muscles. These morphological novelties allow for a decoupling of the upper and lower jaws not found in acanthomorphs (Gidmark et al. 2012; Hernandez and Staab 2015). This 464 decoupling enables cypriniforms to have more flexible and variable feeding movements 465 466 compared to acanthomorphs. Increased functional flexibility could allow cypriniforms to be

opportunistic in using a greater diversity of food types (Staab et al. 2012; Hernandez and Staab
2015), which, when coupled with cypriniform use of aquatic surface respiration (Fu et al. 2014;
He et al. 2015), makes them important future study species for potential feeding on surface films
as well as oil droplets and globules.

471

## 472 Potential implications for uptake of hydrophobic pollutants

Ingestion of liquid oil by fish in the form of suspended droplets, floating globules, or a surface 473 film could be a route for the uptake and transport of hydrophobic pollutants in the wild, 474 475 including polycyclic aromatic hydrocarbons (PAHs). The copepod *Calanus finmarchicus*, the mussel *Mytilus edulis*, and the pelagic tunicate *Dolioletta gegenbauri* actively filter particles < 476 50 µm in diameter, which is the approximate size of the smallest fraction of petroleum oil 477 droplets that accumulate in the water column (Lee et al. 2012; Nordtug et al. 2015). Laboratory 478 and modeling studies indicate that bioaccumulation of polycyclic aromatic hydrocarbons (PAHs) 479 may occur due to active ingestion of petroleum oil droplets by these suspension-feeding 480 invertebrates (Viaene et al. 2014; Nordtug et al. 2015). The lower limit of particle size that can 481 be retained has not been reported for most suspension-feeding fish species, including goldfish. 482 However, suspended oil droplets  $< 50 \,\mu\text{m}$  in diameter are well within the size range of 483 polystyrene particles ingested incidentally by suspension-feeding tilapia species (Cichlidae) that 484 use crossflow filtration (Smith and Sanderson 2013). Since particle retention in these tilapia and 485 486 in goldfish is not dependent on mucus entrapment or mechanical dead-end sieving (Sanderson et al. 2001; Smith and Sanderson 2013), investigation is needed to assess the potential exposure of 487 such fish species to hydrophobic pollutants through the ingestion of suspended oil droplets, 488 489 surfactant-coated air bubbles (Walls et al. 2014), or surface films.

490

## 491 Role of lipids in fish nutrition

Due to their importance in determining the growth rate of fish, lipids are an important area of 492 focus in developing the optimal diet for aquaculture (Leaver et al. 2008). Unlike many terrestrial 493 vertebrates, fish use lipids, fatty acids, and proteins as major macronutrients rather than 494 carbohydrates (Leaver et al. 2008). Many studies have investigated the effects of varying fish 495 dietary lipid levels and sources. There is an optimal level of lipid consumption in fish that 496 interacts closely with protein utilization (Leaver et al. 2008; Bonvini et al. 2015; González-Félix 497 et al. 2015). Wang et al. (2015) varied lipid levels in the diets of fish that they identified as a 498 subspecies, Carassius auratus gibelio, and concluded that the optimal lipid level for juvenile 499 growth was 11.6% of the diet by dry mass. 500

A number of studies have evaluated using plant oil sources to replace fish oil in aquaculture 501 feeds, with varying but promising results (Pozernick and Wiegand 1997; Duan et al. 2014; 502 Sprague et al. 2015). Given that plant oils can be used as an effective lipid source in solid 503 aquaculture feeds, further study is needed to determine whether fish in aquaculture settings or in 504 the wild can ingest plant and animal lipids in the form of suspended oil droplets or a surface film. 505 Dietary requirements of most fish species are not well defined because they tend to vary with 506 age, season, and species, and most of what is known is due to the need of aquaculturists to 507 formulate flesh-maximizing diets. However, in a laboratory study conducted by Sánchez-508 Vázquez et al. (1998), adult goldfish selected a diet ( $g \cdot kg$  body weight<sup>-1</sup>·day<sup>-1</sup>) consisting of 509 approximately 22% protein, 32% fat, and 46% carbohydrate on average by mass from among 510 three different macronutrient-enriched food types. The goldfish adjusted their diet based on what 511 512 they had consumed in the preceding days, suggesting that they were able to select for a balanced

diet. The g·kg body weight<sup>-1</sup>·day<sup>-1</sup> of oil (pollock visceral oil:soybean oil, 2:3) in the preferred
diet of adult goldfish reported by Sánchez-Vázquez et al. (1998) can be used to calculate a rough
estimate of the dietary importance of the oil ingested by goldfish during our study. Based on
these data, the seven goldfish that ingested a detectable amount of oil in the canola treatment of
our study swallowed approximately 30% of their daily lipid intake during the 20-min
experiment.

In conclusion, this ability of goldfish to ingest liquid oil in the form of suspended oil droplets, 519 floating oil globules, and/or a surface film could have important ecological and functional 520 521 morphological implications. Further study is needed of the mechanisms by which goldfish are able to retain and swallow liquid oil, particularly in characterizing the location, movement, and 522 form of the oil within the oral cavity. Such research could determine whether the process is 523 purposeful or incidental and could aid in explaining the variation in oil ingestion among 524 individual goldfish in this study. Our results raise the question of whether other fish species can 525 ingest liquid oil by separating oil from water. Other cypriniforms that use aquatic surface 526 respiration are candidates for study. Ram suspension-feeding marine fishes such as menhaden 527 might use a crossflow or vortical cross-step filtration mechanism (Sanderson et al. 2001; 528 Sanderson et al. 2016) to retain suspended oil droplets or surfactant-coated air bubbles (Walls et 529 al. 2014), particularly juveniles that swim in shallow-water schools extending to the water-air 530 interface. In addition, further study is needed to determine whether ingestion of surface films or 531 532 surfactant-coated air bubbles might contribute to the unidentified source of fatty acids reported recently in suspension-feeding manta rays and whale sharks (Couturier et al. 2013a, 2013b; 533 Rohner et al. 2013), which engulf water while positioning the upper jaw at or above the water 534 535 surface (Paig-Tran et al. 2013; Motta et al. 2010).

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Table 1. Mass of oil in gut segment (mg) for each experimental fish,

Canola oi	lonly	Canola oil + Tetramin				
Treatment	Control	Treatment	Control			
52	0	0	0			
4	0	88	0			
22	0	0	0			
0	0	31	0			
0	0	0	0			
0	0					
114	0					
264	0					
2	0					
0*	0					
Mean ± SD	Mean ± SD	Mean ± SD	Mean ± SD			
45.8 ±84.9	0 ± 0	23.8 ± 38.3	0 ± 0			

calculated from GC-MS analysis of FAMEs.

\* Peak was visible at retention time for 18:1*n*-9, but was neither

identifiable nor quantifiable using the GC-MS.

**Table 2.** Criteria used to distinguish goldfish behaviors during experiments.

Feature	Behavior						
	Feeding Bout	Spitting Bout	Processing Bout				
Water Column Location	Surface	Anywhere	Anywhere, but generally in the midwater				
Upper/Lower Jaw Movement	Upper jaw fully protruded at or above surface of the water, and lower jaw fully depressed	Upper jaw fully protruded and lower jaw fully depressed	Partial protrusion of upper jaw without depression of lower jaw				
Jaw Opening	Alternates between fully open and fully closed throughout bout	Fully open, but sometimes preceded by a series of partial openings	Not open				
Anterior Expulsion from Oral Cavity	None	Air bubbles, oil, or food particles	None				
Posterior Expulsion from Opercular Cavity	Occasionally air bubbles	None	None				
Sequence	Always begins the sequence	Follows feeding bout; follows or precedes processing bout	Follows feeding bout; follows or precedes spitting bout				
Repeated Motion	Full protrusion of upper jaw at or above surface and then closing	Rapid opening and closing of jaws (not all repetitions need contain a full protrusion of the upper jaw and depression of the lower jaw, as long as one is contained within the bout)	Partial protrusion of upper jaw				
End Indicator	Upper jaw is brought below and deliberately away from the surface and jaw is closed	Either closing of the jaw or the expulsion of air, oil, or food from the oral cavity	Cessation of motion or switch to different behavior				

Table 3. Behavioral data from video analysis of each experimental fish; bouts

measured in counts for each 20-min experiment.

	Time Fed	Feeding	Spitting	Processing
	(seconds)	Bouts	Bouts	Bouts
	70	31		
	44	32		
	38	25		
Canola Oil	49	40		
Only*	39	35	23	6
,	67	80	45	24
	95	98	75	40
	108	50	41	22
	7	11	13	8
Mean ± SD	57.4 ±	44.7 ±	39.4 ±	20.0 ±
	31.1	27.7	23.8	13.8
Canola Oil	400	199	71	20
+	307	203 🕓	115	13
Tetramin	287	182	67	12
	351	128	60	21
	288	159	52	11
Mean ± SD	326.6 ±	174.2 ±	73.0 ±	15.4 ±
	48.5	31.1	24.6	4.7
Tetramin	197	90	54	9
Only	246	147	37	22
	98	32	18	16
	161	58	34	11
	116	99	68	15
Mean ± SD	163.6 ±	85.2 ±	42.2 ±	14.6 ±
	60.2	43.6	19.3	5.0

\* Time Fed and Feeding Bouts were not quantified for the first fish and

Spitting and Processing Bouts were not counted for the first five fish.



Figure 1. Average ratios of processing bouts to feeding bouts with 95%

confidence intervals. Treatments labeled with different letters are

significantly different.



**Video S1.** Following completion of experiments, juvenile goldfish in a laboratory aquarium learned to engulf globules in a film of canola oil on the water surface that had been released from the tip of a polyethylene cannula as the tip was removed from the water (240 frames  $\cdot$ s<sup>-1</sup>; video by C.M. LaValley).

**Video S2.** In outdoor ponds, suspension-feeding juvenile goldfish that had been introduced previously to liquid oil engulfed a thin layer of canola oil with interspersed oil globules at the surface (30 frames  $\cdot$  s<sup>-1</sup>).

